

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>7</sup> :</b> <b>G01N 33/55</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/20864</b> <b>(43) International Publication Date:</b> 13 April 2000 (13.04.00)
<b>(21) International Application Number:</b> PCT/US99/23284 <b>(22) International Filing Date:</b> 6 October 1999 (06.10.99)  <b>(30) Priority Data:</b> 60/103,350 7 October 1998 (07.10.98) US 60/117,526 28 January 1999 (28.01.99) US Not furnished 4 October 1999 (04.10.99) US  <b>(71) Applicant:</b> BIOCRYSTAL LIMITED [US/US]; 575 McCorkle Boulevard, Westerville, OH 43082-8888 (US).  <b>(72) Inventor:</b> BARBERA-GUILLEM, Emilio; 1555 Picarde Court, Powell, OH 43065 (US).  <b>(74) Agents:</b> NELSON, M., Bud et al.; BioCrystal Limited, 575 McCorkle Boulevard, Westerville, OH 43082-8888 (US).	<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
<b>(54) Title:</b> IMMUNOTHERAPY OF B CELL INVOLVEMENT IN PROGRESSION OF SOLID, NONLYMPHOID TUMORS  <b>(57) Abstract</b>  An immunotherapeutic composition, and the use of an immunotherapeutic composition in the manufacture of a pharmaceutical composition, for a procedure for treating a pro-tumor immune response in an individual having, or suspected of having, a pro-tumor immune response. Administered is a therapeutically effective amount of an immunotherapeutic composition which binds to a determinant on B cells, resulting in B cell depletion including B cells that may be involved in promotion of tumor progression.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**IMMUNOTHERAPY OF B CELL INVOLVEMENT IN  
PROGRESSION OF SOLID, NONLYMPHOID TUMORS**

FIELD OF THE INVENTION

The present invention is related to novel methods  
5 for immunotherapy of a pro-tumor immune response, whether  
present alone or present together with solid, non-lymphoid  
tumor, in humans. More particularly, the present invention  
is related to the methods for therapeutically treating B  
cells which may be involved in promotion of growth and  
10 metastasis of solid, nonlymphoid tumors.

BACKGROUND OF THE INVENTION

The response of an individual to tumor cells  
involves the reactions and counteractions mediated by both  
15 cellular and humoral arms of the immune system. Tumor cell  
growth may represent a disturbance in the equilibrium of the  
immune system that is pre-existing, and/or induced by the  
tumor cells themselves. However, most investigations to  
date have focused on the role of T cells in tumor immunity.  
20 The role of B cells in a tumor-bearing individual still  
remains unclear. Previous studies have shown that lymph  
nodes regional to a primary tumor in cancer patients, and in  
*in vivo* experimental animal models of tumor development, can  
undergo a prominent expansion in the germinal centers. In  
25 these "involved" lymph nodes, there is often an increased  
number of immune cells that include B lymphocytes (B cells).  
However, the reason(s) for this observed B cell prolifera-  
tive response remains unclear, and may be due to either  
activation and stimulation directly by tumor cells or tumor  
30 cell components, and/or indirectly by stimulation of T-  
helper cells which then activate and stimulate B cells. A  
recent study confirmed the increase in the number of B cells  
in lymph nodes regional to primary tumors. The number of B  
cells increase in the regional lymph nodes concomitantly

with tumor development, and such B cells appear to be able to elicit anti-tumor immunity. In that regard, there are numerous reports that cancer patients have circulating antitumor antibodies. Thus, there appears that a humoral  
5 immune response towards tumor-associated antigens can be mounted in cancer patients. However, the role of the B cells in the host response to tumor, and the tumor associated antigens recognized by B cells, remain poorly defined.

10               Surgical removal of a primary tumor alone, thereby reducing the major portion of the tumor burden, is often inadequate to control regrowth or metastasis of the tumor; and hence often fails to significantly affect survival of a cancer patient. For example, of the more than 150,000  
15 Americans who will develop colorectal carcinoma each year, it is estimated that 17% to 55% of them will develop or already have metastases in the liver. Surgery, when possible, is used as a standard therapy for patients with isolated metastases (e.g., hepatic and/or pulmonary). After  
20 resection, the projected five year survival rate may range from 25-35%, the mean survival is about 31 months, and the 30-day mortality rate is about 4% (figures published in 1996). However, about 25% to 45% of patients who have had resection of their colorectal cancer later develop recur-  
25 rences. While new chemotherapeutics are being developed and tested for efficacy, many of the currently available cancer treatments are relatively ineffective. It has been reported that chemotherapy results in a durable response in only 4% of treated patients, and substantially prolongs the life of  
30 only an additional 3% of patients with advanced cancer (figures published in 1993). Current treatments for metastases are both cost-prohibitive, relatively ineffective, and present with major toxicity. Regarding the latter and depending on the drug or drug combination used, systemic

chemotherapy may result in one or more toxicities including hematologic, vascular, neural, gastro-intestinal, renal, pulmonary, otologic, and lethal.

In contrast to solid, nonlymphoid tumors, there are numerous approaches and successes in treating B cell lymphoma (cancer cells of B cell origin). Such treatments include administration of immunologically active anti-CD20 antibodies to B cell lymphoma patients (see, e.g., U.S. Patent No. 5,776,456); administration of an immunoconjugate comprising mAb Lym-1 coupled to ricin toxin A chain (see, e.g., U.S. Patent No. 4,724,213); administration of an immunoconjugate comprising mAb LL2 (anti-CD22) coupled to chemotherapeutic agent (see, e.g., U.S. Patent No. 5,789,554); and administration of an mAb alone, or an immunoconjugate comprising anti-CD19 mAb coupled to a chemotherapeutic agent.

We have discovered that certain soluble tumor antigens, shed from tumor cells of solid, nonlymphoid tumors, are capable of inducing an immune response which promotes tumor progression (comprising one or more of tumor growth, invasion, and metastasis). This mechanism of promotion of tumor progression involves the specific type of immune response induced by certain classes of shed tumor antigen, as described in more detail herein. This specific immune response, a "pro-tumor immune response", comprises (a) the contact or presence of shed tumor antigen in relation to the cell surface of B cells; (b) activation of such B cells to proliferate; (c) differentiation of B cells into plasma cells which secrete antibody against shed tumor antigen ("anti-shed tumor antigen antibody") which can interact with shed antigen in forming immune complexes; and (d) such immune complexes can act indirectly via immune effector cells, and/or directly on the nonlymphoid tumor cells, to mediate tumor progression.

Therefore, a need exists for methods which may be used to therapeutically treat a pro-tumor immune response, by treating B cells, in an individual; particularly in an individual who has a solid, nonlymphoid tumor, or an individual who is at high risk (e.g., environmentally and/or genetically) for developing a solid, nonlymphoid tumor, or an individual who has been treated for a solid, nonlymphoid tumor and thereby inherently carries a risk of recurrence of such tumor.

#### SUMMARY OF THE INVENTION

Accordingly, it is a primary object of the present invention to provide methods for treating a pro-tumor immune response by depleting B cells to inhibit the growth of primary solid, nonlymphoid tumors and/or their metastases.

It is another object of the present invention to provide methods for treating a pro-tumor immune response, wherein the treatment is directed to an individual's immune cells, wherein the immune cells are B cells, one or more subpopulations of which are involved in a pro-tumor immune response that can promote tumor progression of a solid, nonlymphoid tumor and/or its metastases.

It is a further object of the present invention to provide methods for treating an individual for (e.g., to inhibit, reduce, or eliminate) a pro-tumor immune response by directing the treatment to B cells, including one or more subpopulations of B lymphocytes, which may be found (a) in lymphoid tissue regional or distal to the site of a solid, nonlymphoid tumor; (b) infiltrating solid, nonlymphoid tumor; (c) circulating in body fluids such as peripheral blood; or (d) a combination thereof.

It is a further object of the present invention to provide methods for site-directed treatment of a pro-tumor immune response, wherein the treatment is directed to B

cells which may include one or more subpopulations of B cells involved in a pro-tumor immune response, and wherein the immunotherapeutic composition is delivered in a relatively direct fashion to a localized region or tissue so as to better concentrate the immunotherapeutic composition in the proximity of targeted B cells.

It is also a further object of the present invention to provide methods for treating a pro-tumor immune response as an adjuvant regime in an individual who has indications of a pro-tumor immune response (e.g., altered B cell phenotype), who is in apparent remission of a solid, nonlymphoid tumor, and who is also at risk for recurrence of nonlymphoid tumor.

The foregoing objects are based on a discovery of a novel mechanism in which shed tumor antigen secreted by a solid, nonlymphoid tumor induces (activates) a subpopulation of B cells, in a pro-tumor immune response, to proliferate and differentiate into plasma cells which secrete anti-shed tumor antigen antibody that, when in immune complex form, can promote tumor progression of the primary tumor and of subsequent metastases. The objects of the invention are also achieved by providing methods for depleting B cells, one or more subpopulations of which may be involved in a pro-tumor immune response; and in a preferred embodiment, treatment is particularly directed to localized sites containing shed tumor antigen-specific B cells. In one embodiment, administered to an individual is a therapeutically effective amount of one or more immunotherapeutic compositions to deplete B cells present in a tissue selected from the group consisting of lymphoid tissues (e.g., either regional and/or distal relative to the site(s) of solid, nonlymphoid tumor), solid, nonlymphoid tumor, body fluids such as peripheral blood, or a combination thereof. In another embodiment, administered to an individual is a

therapeutically effective amount of one or more anticancer agents (e.g., one or more chemotherapeutic agents, one or more anti-inflammatory agents), in combination or conjunction with one or more immunotherapeutic compositions to  
5 treat B cells present in a tissue selected from the group consisting of lymphoid tissues, solid, nonlymphoid tumor, body fluids such as peripheral blood, or a combination thereof.

In these embodiments, the function of an immuno-  
10 therapeutic composition of the present invention is to cause B cell depletion, wherein B cell depletion may include one or more of: blocking of B cell function; functional inactivation of B cells; cytolysis of B cells; inhibiting the proliferation of B cells, including, but not limited to, one  
15 or more subpopulations of B cells involved pro-tumor immune response; reducing the amount of anti-shed tumor antigen antibody by depleting the number of B cells activated by shed tumor antigen ("shed tumor antigen-specific B cells"); and causing inactivation or cytolysis of B cells which have  
20 been primed or activated by shed tumor antigen. The immunotherapeutic compositions contact and bind to one or more determinants on B cells and may result in (e.g., cause, enable, or induce) B cell depletion, thereby immunomodulating the immune system to inhibit the tumor-promoting  
25 function of B cells involved in a pro-tumor immune response. Inhibiting the tumor-promoting function of B cells involved in a pro-tumor immune response may also inhibit one or more of the growth of the primary tumor, its ability to invade and/or metastasize, and growth of metastases.

30 The above and other objects, features, and advantages of the present invention will be apparent in the following Detailed Description of the Invention when read in conjunction with the accompanying drawings.



**BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 is a bar graph illustrating a comparison between subcutaneous tumor growth in mice having an absence of functional B cells (C57B/ $\mu$ MT/ $\mu$ MT mice) as compared to B cell competent (C57BL/6) mice.

FIG. 2 is a bar graph illustrating the average number of lung metastases in B cell deficient (C57B/ $\mu$ MT/ $\mu$ MT) mice as compared to B cell competent (C57BL/6) mice.

FIG. 3 is a bar graph illustrating *in vivo* spleen tumor cell growth and liver metastasis (combined score) in the presence of splenic B lymphocytes from tumor bearing mice (T-SpL), B lymphocytes from tumor (B-TIL), and splenic B lymphocytes from normal mice (N-Spl).

FIG. 4 is a bar graph illustrating *in vitro* tumor growth of Met 129 tumor cells alone; or co-incubated with either CD8+ cells, CD4+ cells, CD8+ cells and CD4+ cells, B-TIL, CD8+ cells and B-TIL, or B-TIL and CD8+ cells and CD4+ cells.

FIG. 5 is a bar graph illustrating the extra-regional (lymph node) and liver metastases scores (combined as "metastasis score") in mice treated with either irrelevant goat IgG, or goat anti-mouse IgG and goat anti-mouse IgM.

FIG. 6 is a graphic illustration of exemplary arteries and arterial branches useful for site-directed delivery of the immunotherapy.

FIG. 7 is a graphic illustration of site-directed delivery of the immunotherapeutic composition using catheterization via the celiac trunk to the common hepatic artery.

FIG. 8A is a graphic illustration of site-directed delivery of the immunotherapeutic composition using catheterization via the celiac trunk to the common hepatic artery, which may be used in conjunction with the delivery in FIG. 8B.

FIG. 8B is a graphic illustration of a further embodiment of site-directed delivery of the immunotherapeutic composi-

tion using catheterization via the superior mesenteric artery.

FIG. 9 is a graph showing the depletion of CD19+ B cells effected by treatment of individuals with an immunotherapeutic composition.

FIG. 10 is a graph showing the depletion of CD19+ sTn+ B cells effected by treatment of individuals with an immunotherapeutic composition.

FIG. 11 is a graph showing the depletion of CD19+ CD21+ sTn+ B cells effected by treatment of individuals with an immunotherapeutic composition.

FIG. 12 is a graph showing the depletion of CD19+ CD21+ B cells effected by treatment of individuals with an immunotherapeutic composition.

FIG. 13 is a graph showing the depletion of CD19+ CD5+ B cells effected by treatment of individuals with an immunotherapeutic composition.

FIG. 14 is a bar graph showing the change in density of metastases as effected by treatment of an individual with an immunotherapeutic composition.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

##### Definitions

The term "depletion" is used herein in reference to B cells, and for purposes of the specification and claims, to mean one or more of: blocking of B cell function; functional inactivation of B cells; cytolysis of B cells; inhibiting the proliferation of B cells; inhibiting the differentiation of B cells to plasma cells; causing a B cell dysfunction which results in a therapeutic benefit to the treated individual; inhibiting the ability of shed tumor antigen-specific B cells to contribute to a pro-tumor immune response; reducing production of anti-shed tumor antigen

antibody by inhibiting the activation of naïve B cells by shed tumor antigen; inactivating B cells which have been primed or activated by shed tumor antigen; blocking of one or more functions of B cells which have been primed or activated by shed tumor antigen, wherein the one or more functions contribute indirectly or directly to a pro-tumor immune response; cytolysis of B cells which have been primed or activated by shed tumor antigen; and reducing the number of B cells which have been primed or activated by shed tumor antigen. B cell depletion may be a result of one or more mechanisms including, but not limited to, clonal inactivation, apoptosis, antibody-dependent cellular cytotoxicity, complement-mediated cytotoxicity, and a signal pathway mediated inactivation, dysfunction, or cell death.

The term "immunotherapeutic composition" is used herein, for purposes of the specification and claims, to mean a therapeutically effective amount of a composition (a) comprised of at least one affinity ligand which selectively (preferentially) binds to at least one determinant present on B cells, wherein the B cell preferentially comprise one or more subpopulations that comprise mature B cells, memory B cells, B cells of altered phenotype, and a combination thereof; and (b) whereupon upon contact and binding to such B cells, directly or indirectly results in (causes and/or enables) B cell depletion, including shed tumor antigen-specific B cells, and in sites that are foci of a pro-tumor immune response. Treatment with a therapeutically effective amount of the immunotherapeutic composition may result in a beneficial function. Such a beneficial function may include, but is not limited to, one or more of: inhibiting the proliferation of B cells which may be involved, or may be recruited to be involved, in a pro-tumor immune response; inhibiting proliferation of shed tumor antigen-specific B cells, and inhibiting differentiation of shed tumor antigen-

specific B cells to plasma cells; and depleting B cells which have been primed or activated by shed tumor antigen. As an illustrative but non-limiting example, an anti-CD20 mAb, or an anti-Lym-1 mAb, or an anti-CD19 mAb, may  
5 selectively bind to B cells (via CD20, Lym-1, and CD19, respectively) and facilitate or result in B cell depletion. A bi-specific antibody mAb, anti CD3-CD19 mAb, may bind to T cells (via CD3) and B cells (CD19) to mediate T cell-B cell interactions that may facilitate B cell depletion.

10 Thus, in one embodiment, the present invention provides for the use of an immunotherapeutic composition in the manufacture of a pharmaceutical composition for use in a procedure for treating nonmalignant B cell involvement in a pro-tumor immune response; wherein the immunotherapeutic  
15 composition selectively contacts and binds to at least one determinant present on B cells (and in a preferred embodiment, the B cells comprise mature B cells, memory B cells, B cells of altered phenotype, and a combination thereof); wherein upon contact and binding to such B cells, the  
20 immunotherapeutic composition induces or causes B cell depletion; and wherein the procedure comprises administering to an individual a therapeutically effective amount of the pharmaceutical composition comprising the immunotherapeutic composition. Administering the immunotherapeutic composition  
25 to an individual may be by a mode which includes, but is not limited to, introducing the immunotherapeutic composition into a vascular access of the individual's tissue or organ to be treated, introducing the immunotherapeutic composition into peripheral blood, and a combination  
30 thereof.

The immunotherapeutic composition may further comprise an additional component comprising one or more of a chemotherapeutic agent, an anti-inflammatory agent, an anti-B cell agent, or a combination thereof. Chemotherapeutic

agents are well known in the art (e.g., see the description to follow for "drugs" which include exemplary chemotherapeutic agents). Anti-inflammatory agents, well known in the art, may be used to suppress the inflammation which contributes to tumor progression as described herein. Illustrative but non-limiting examples of anti-inflammatory agents include non-steroidal anti-inflammatory drugs (e.g., aspirin, ibuprofen, naproxen, and the like), and COX-2 inhibitors (e.g., rofecoxib, and celecoxib). The invention may be practiced with a preferred additional component to the exclusion of other additional components. The "anti-B cell agent" is an agent that acts directly against B cells, or acts indirectly (e.g., stimulates a Th1 response, thereby diverting away from a Th2 response may enhance B cell involvement in a pro-tumor immune response); wherein the anti-B cell agent comprises an immunomodulatory agent, or cytolytic agent, or a vector capable of encoding in a B cell an immunomodulatory agent or cytolytic agent that is subsequently introduced into B cells.

In one embodiment, the additional component is administered separately (e.g., nonconjugated to; whether administered simultaneously, or administered separately, as part of a treatment regimen) with respect to the immunotherapeutic composition. In another embodiment, the additional component may be coupled to the affinity ligand of the immunotherapeutic composition; wherein the affinity ligand serves to selectively bind the B cells, thereby bringing the additional component in contact with or in functional proximity of B cells that may be involved in a pro-tumor immune response that promotes tumor progression. An immunomodulatory agent is an agent which, by interacting directly with such B cells or with naïve CD4+ cells or with follicular dendritic cells, inhibits or prevents one or more of the following: activation and/or proliferation of B cells

induced by the shed tumor antigen (e.g., shed tumor antigen by itself, or as presented to B cells by antigen presenting cells); secretion by plasma cells of anti-shed tumor antigen antibody which, in immune complex form, promotes tumor progression; a Th2 response. Such immunomodulatory agents may include, but are not limited to, a therapeutically effective amount of: cytokines, biologically active peptides, immunostimulatory sequences, drugs, and a combination thereof. The invention may be practiced with a preferred immunomodulatory agent to the exclusion of other immunomodulatory agents. For example, soluble CD21 has been shown to reduce antibody responses by blocking CD21 ligand on follicular dendritic cells and/or by binding C3 fragments associated with immune complexes. Also, IL-12 has been shown to be a potent inducer of naïve CD4+ cells towards a Th1 response. Further, certain short bacterial immunostimulatory DNA sequences ("ISS", containing unmethylated CpG motifs), have been shown to be able to stimulate a Th1 response (e.g., by inducing IL-12 production), and hence stimulate a cell-mediated immune response. A cytolytic agent is an agent that, by interacting directly with such B cells, causes B cell cytotoxicity. Such cytolytic agents may include, but are not limited to, a therapeutically effective amount of: toxins; drugs; enzymes; cytokines; radionuclides; photodynamic agents; and molecules which induce apoptosis (e.g., Fas ligand). Toxins may include a therapeutically effective amount of ricin A chain, mutant *Pseudomonas* exotoxins, diphtheria toxoid, streptonigrin, boamycin, saporin, gelonin, and pokeweed antiviral protein. Drugs may include a therapeutically effective amount of cytotoxic drugs including, but not limited to, fludarabine, chlorambucil, daunorubicin, doxorubicin (e.g., in liposomes), cisplatin, bleomycin, melphalan, mitomycin-C, and methotrexate. Due to the sensitivity of B cells to

radiation, radionuclides may include, but are not limited to, radiometals such as yttrium which emits a high energy beta particle, and  $I^{125}$  that emits Auger electrons, that may be absorbed by adjacent B cells. Photodynamic agents may include therapeutically effective amounts of porphyrins and their derivatives. The methods for coupling ligands or targeting molecules with therapeutic agents are well known to those skilled in the art (See, for example, U.S. Patent No. 5,789,554, the disclosure of which is herein incorporated by reference). Often such methods utilize one of several available hetero-bifunctional reagents used for coupling or linking molecules. Also, the immunotherapeutic composition may further comprise a pharmaceutically acceptable carrier medium for facilitating infusion into the bloodstream, or infusion into a vascular access (including lymphatics) of the individual's tissue to be treated. Such pharmaceutically acceptable carrier media are known to those skilled in the art to include buffered saline solutions, buffered carbohydrate solutions, liposomes, sterile water, and the like.

The term "determinant" with reference to B cells, is used herein, for purposes of the specification and claims, to mean a molecule which is preferentially expressed by B cells, or one or more subpopulations thereof which include memory B cells, mature B cells, and B cells of altered phenotype (see, e.g., Table 3); wherein the molecule is involved in and responsible for selective binding to an affinity ligand having binding specificity and avidity for the determinant. Cell-associated determinants may include, but are not limited to, molecules, receptors, components, or surface immunoglobulin, present on the surface of the cell membrane. "Preferentially expressed" is used herein to mean that the cell-associated determinant is expressed on a substantial number (approximately 40% or greater) of the B

cells, or of a subpopulation thereof, which are targeted by the immunotherapeutic composition. In a preferred embodiment, the determinant is primarily expressed on B cells, with little or no expression of the determinant (as relative to the number of cells expressing the determinant or to the level of expression as compared to B cells) by other subpopulations of immune cells (with the possible exception of dendritic cells; e.g., CD21) contained within a region or tissue to which the immunotherapeutic agent is targeted or administered. In a preferred embodiment, the determinant is selected from the group consisting of CD19, CD20 (see, e.g., U.S. Patent No. 5,776,456, the disclosure of which is herein incorporated by reference), CD21, CD22 (see, e.g., LL2, U.S. Patent No. 5,789,554, the disclosure of which is herein incorporated by reference), Lym-1 (see, e.g., U.S. Patent No. 5,789,554, the disclosure of which is herein incorporated by reference), CDIM (see, e.g., U.S. Patent No. 5,593,676, the disclosure of which is herein incorporated by reference), sIg having binding specificity for shed tumor antigen, and a combination thereof.

The term "B cells" is used herein, for purposes of the specification and claims, and particularly in reference to treating solid, non-lymphoid tumor and a pro-tumor immune response or the presence of a pro-tumor immune response without clinically evident tumor comprising depleting B cells described herein, to mean mammalian (and preferably human) nonmalignant B cells. As known to those skilled in the art, malignant B cells refers to cancer cells of B cell origin, such as B cell lymphomas, and B cell leukemias. Thus, the term "B cells", as used herein in reference to depleting B cells and in treating a pro-tumor immune response, specifically excludes B cell lymphomas, B cell leukemias, and cancer cells of B cell origin. In that regard, nonmalignant B cells comprise one or more of: memory



B cells; mature B cells; a subpopulation thereof (e.g., B cells which have a cell surface-bound immunoglobulin comprising antibody against shed tumor antigen; or B cells of altered phenotype-see Table 3); and a combination thereof. The one or more subpopulations of B cells may be involved in (including may contribute to) one or more of a pro-tumor immune response, and promotion of tumor progression, as will be more apparent from the following embodiments.

10           The term "lymphoid tissue" is used herein, for purposes of the specification and claims, to mean a tissue which contains localized areas (e.g., follicles) of antigen presenting cells and B cells, and in which can be induced an immune response involving B cells. Such lymphoid tissues  
15           comprise lymph nodes; milky patches in the mesenterium of the intestine; omentum; appendix; Peyer's patches; loose connective tissue (e.g., associated with vessels in the walls of the aorta); lymphatic vessels; submucosal spaces; subserosa spaces; peritoneal cavity; ligaments (e.g.,  
20           gastro-hepatic ligament); arteriosclerotic plaques containing trapped B cells; and epineura.

          The term "metastases" is used herein, for purposes of the specification and claims, to mean metastatic cells from a primary tumor wherein the primary tumor is a solid,  
25           non-lymphoid tumor, as will be more apparent from the following embodiments.

          The term "affinity ligand" is used herein, for purposes of the specification and claims, to mean a molecule which has binding specificity and avidity for a determinant  
30           associated with B cells that may be present in lymphoid tissues, and/or infiltrating solid, nonlymphoid tumors, and/or circulating in body fluids such as peripheral blood. In general, affinity ligands are known to those skilled in the art to include, but are not limited to, lectins (or

fragments or derivatives thereof which retain specific binding activity), monoclonal antibodies ("mAb", including chimeric or genetically modified monoclonal antibodies which may be preferable for administration to humans), peptides, and aptamers. The term "monoclonal antibody" is also used herein, for purposes of the specification and claims, to include immunoreactive fragments or immunoreactive derivatives (e.g., peptides) derived from a mAb molecule, which retain all or a portion of the binding function of the whole mAb molecule. Such immunoreactive fragments or immunoreactive derivatives are known to those skilled in the art to include  $F(ab')_2$ , Fab', Fab, Fv, scFV, Fd', Fd, and the like. Methods for producing the various fragments from mAbs are well known in the art. For example,  $F(ab')_2$  can be produced by pepsin digestion of the monoclonal antibody, and Fab' may be produced by reducing the disulfide bridges of  $F(ab')_2$  fragments. Fab fragments can be produced by papain digestion of the monoclonal antibody, whereas Fv can be prepared according to methods described in U.S. Patent No. 4,642,334. Single chain derivatives can be produced as described in U.S. Patent No. 4,946,778. The construction of chimeric antibodies is now a straightforward procedure in which the chimeric antibody is made by joining the murine variable region to a human constant region. Additionally, "humanized" antibodies may be made by joining the hypervariable regions of the murine monoclonal antibody to a constant region and portions of variable region (light chain and heavy chain) sequences of human immunoglobulins using one of several techniques known in the art. Methods for making a chimeric non-human/human mAb in general, and a chimeric anti-CD20 mAb in particular, are described in detail in U.S. Patent No. 5,736,137. The chimeric anti-CD20 antibody described in U.S. Patent No. 5,736,137 has been reported to be therapeutically active on its own; e.g., does

not require coupling to a toxin or radioisotope to induce  
cytolysis of targeted B cells. Likewise, chimeric anti-CD22  
antibody has been previously described in U.S. Patent No.  
5,789,554. Likewise, a cross-linking of a B cell by an  
5 anti-CDIM mAb has been reported to induce a cellular  
response ultimately resulting in cell death (U.S. Patent No.  
5,593,676). In a preferred embodiment, affinity ligands may  
include, but are not limited to, a mAb having binding speci-  
ficity for one of CD19, CD20, CD21, CD22, CDIM, or Lym-1.  
10 Aptamers can be made against B cell determinants using  
methods described in U.S. Patent No. 5,789,157 (the  
disclosure of which is herein incorporated by reference).

The term "solid, non-lymphoid tumor" is used  
herein, for purposes of the specification and claims, to  
15 mean any primary tumor of ductal epithelial cell origin,  
including, but not limited to, tumors originating in the  
liver, lung, brain, lymph node, bone marrow, breast, colon,  
pancreas, stomach, esophagus, gastrointestinal tract, or  
reproductive tract (cervix, ovaries, endometrium etc.); and  
20 which produces shed tumor antigen (e.g., serous, or endome-  
troid, or mucinous tumors). As apparent to one skilled in  
the art, lymphoid tumors, including B cell lymphomas, and  
leukemias are excluded from the definition of solid,  
nonlymphoid tumors or their metastases. For the purposes of  
25 the present invention, "solid, non-lymphoid tumor" may  
additionally encompass melanoma which produces shed tumor  
antigen.

The term "organ" is used herein, for purposes of  
the specification and claims, to mean any tissues or organs  
30 in which solid, non-lymphoid tumors, or their metastases,  
may develop. Such organ may include, but is not limited to,  
breast, liver, lung, brain, lymph node, bone marrow, colon,  
stomach, pancreas, appendix, small bowel, esophagus,  
prostate, or reproductive organ.

The term "shed tumor antigen" is used herein, for purposes of the specification and claims, to mean a glycoprotein that:

- (a) is released from a primary tumor or its metastases, thereby becoming soluble and allowing for movement into tissues;
- (b) comprises a molecule having repeated carbohydrate chains which may present a terminal, repeated carbohydrate epitope;
- (c) interacts with a B cell surface receptor in activating (by itself or in the presence of another B cell stimulatory factor, such as may be displayed by presenting antigen presenting cells) B cells to shed tumor antigen;
- (d) induces a humoral immune response resulting in the production and secretion of anti-shed tumor antigen antibody; and
- (e) can interact with anti-shed tumor antigen antibody in forming immune complexes, wherein the immune complexes may bind and cross-link FcγRI present on the surface of FcγRI expressing cells.

With regard to the tumor antigen being soluble, the tumor antigen is noncellular ("shed") tumor. Non-cellular tumor antigen comprises soluble tumor antigen that is not an integral part of a living tumor cell. Such shed tumor antigen exists in a form selected from the group consisting of free form (shed tumor antigen alone), in an immune complex form (shed tumor antigen bound to anti-shed tumor antigen antibody), in a form as presented on the surface of an antigen presenting cell (e.g., follicular dendritic cells), in a form as bound to the cell surface of B cells, and as a form in tumor cell membranes existing apart from living tumor cells (i.e., soluble membrane complexes representing portions of dead tumor cells).

With regard to the shed tumor antigen comprising repeated carbohydrate chains containing one or more epitopes

(hence, repeated epitopes), that is glycoprotein in composition, and that can interact with anti-shed tumor antigen antibody in forming immune complexes, wherein the immune complexes may bind and cross-link FcγRI present on the surface of FcγRI expressing cells, and for purposes of illustration, and not limitation, exemplifying such shed tumor antigen are mucins and mucin-like molecules. For a review of the structure of the family of mucin molecules, see Finn et al. (1995, *Immunol. Rev.*, 145:62-89). Briefly, mucins are high molecular weight glycoproteins (e.g., greater than about 100 kiloDaltons in molecular mass) of which a significant portion of the polypeptide backbone comprises a domain composed of a tandemly repeating peptide subunits (e.g. about 20 to about 125 repeats). Mucins are found on normal ductal epithelial cells in sequestered locations that are not normally exposed to the immune system (e.g., restricted to the lumen of duct). However, in processes such as transformation (e.g., pre-cancerous) or tumor development, and due to various factors (e.g., the increased production of mucin, lack of availability of glycosyltransferases), non-lymphoid tumor cells produce mucin in an underglycosylated (incompletely glycosylated) form and/or in a form of altered glycosylation (e.g., with a terminal sialic acid group). An immune response against tumor cell-produced mucin is thought to be primarily directed against one or more epitopes on the mucin glycoprotein which is exposed to the immune system as a result of underglycosylation or altered glycosylation. Thus, because of the underglycosylation or altered glycosylation in growing tumors, the shed tumor mucin has epitopes not normally found on mucin or not normally exposed to the immune system. Such epitopes may include, but are not limited to, carbohydrate epitopes comprising the sialyl Tn (sTn) antigen

(substantially comprising the NeuAc portion of NeuAc $\alpha$ 2 $\rightarrow$ 6GalNAc $\alpha$ 1 $\rightarrow$ O-Ser- or Thr); the Tn antigen (comprising the GalNAc portion of GalNAc $\alpha$ 1 $\rightarrow$ O-Ser- or Thr), the T antigen, and other sialic acid containing epitopes (e.g., substantially comprising NeuAc $\alpha$ 2 on the carbohydrate chains (a) NeuAc $\alpha$ 2 $\rightarrow$ 6Gal $\rightarrow$ O-Ser- or Thr, (b) NeuAc $\alpha$ 2 $\rightarrow$ 3Gal $\rightarrow$ O-Ser- or (c) NeuAc $\alpha$ 2 $\rightarrow$ 3GalNAc $\rightarrow$ O-Ser- or Thr). Examples of a mucin-like glycoprotein which is differentially glycosylated by tumor cells, and is shed by tumor cells, are SSEA-1 antigen and carcinoembryonic antigen (CEA).

Tumor-associated glycoproteins, and characterizations such as the nature of carbohydrate chain structure and/or monoclonal antibody binding, are known to those skilled in the art (see, e.g., Table V of Hakomori, 1989, *Adv. Cancer Res.* 52:257-331). Tumor-associated glycoproteins which are known to those skilled in the art as being found in a soluble form include, but are not limited, to the human equivalents of those presented in Table 1.

Table 1

Soluble-tumor Ag	Antibody	Characteristic
sialyl SSEA-1 ("SLX")	FH-6	pancreatic, lung, gastric, ovarian, cervical adenocarcinomas
PA8-15	mAb PA8-15	pancreatic, gastrointestinal carcinoma
MUSE 11	mAb MUSE 11	adenocarcinoma, pancreatic cancer
Her-2/neu	mAb GFD-OA-p185-1	185 kD; various carcinomas
TA90 or U-TAA	mAb ADI-40F4	melanoma
KL-6 antigen	mAb Kl-6	various adenocarcinomas

For purposes of illustration, and not limitation, in a preferred embodiment of the present invention, the shed

tumor antigen comprises one or more antigens on the gene product of the *MUC-1* gene (also known as polymorphic epithelial mucin; hereinafter referred to as "mucin"). In a more preferred embodiment, the shed tumor antigen is mucin, and the terminal, repeated carbohydrate epitope to which anti-shed tumor antigen-antibody is directed comprises sTn antigen.

With regard to a humoral immune response that may be induced by the shed tumor antigen, it is known that the immune response induced by tumor cell-associated mucin is predominantly cellular (CD8+), with little or no antibody produced. In contrast to mucin bound to the surface of whole tumor cells, shed mucin induces a humoral immune response that eventually results in antibody production, but not cytotoxic T cell responses. However, it was not known that such an immune response can promote tumor progression. In the development of the present invention, the inventor determined that anti-shed tumor antigen antibody, particularly in the form of immune complexes also comprising shed tumor antigen, may promote tumor progression in a pro-tumor immune response; and further, may inhibit the development of an effective antitumor humoral immune response.

The term "individual" is used herein, for purposes of the specification and claims, to mean a mammal; and preferably a human. An individual who is at risk of developing, or has developed, a pro-tumor immune response may include an individual having a primary tumor comprising a solid, non-lymphoid tumor and/or its metastases; an individual having a pre-cancerous lesion comprising transformed (abnormal in proliferation and/or genetic makeup as compared to normal epithelial cells of the same type) cells of ductal epithelial origin which release shed tumor antigen; an individual who is at high risk (e.g., environmentally and/or genetically) for developing a solid, nonlymphoid

tumor; or an individual who has been treated for a solid, nonlymphoid tumor and thereby inherently carries a risk of recurrence. In a preferred embodiment of the present invention, the method and compositions are intended for use to  
5 treat B cells localized in one or more body tissues (e.g., lymphoid tissue, solid, nonlymphoid tumor, body fluids such as peripheral blood, or a combination thereof) in individuals at risk for developing, or who have developed, a pro-tumor immune response.

10           The term "vector" or "expression vector" is used herein for purposes of the specification and claims, to mean vectors used in accordance with the present invention as a vehicle for introducing into and expressing in a mammalian cell a desired gene. As known to those skilled in the art,  
15 such vectors can be selected from plasmids, viruses, and retroviruses. The features of a vector which make it useful in the methods of the present invention include that it have a selection marker for identifying vector which has inserted therein a gene encoding an anti-B cell agent; restriction  
20 sites to facilitate cloning of a gene encoding an anti-B cell agent; and the ability of the vector to enter and/or replicate in mammalian cells. Examples of a preferred vector for the *in vivo* introduction of a recombinant vector into mammalian cells include, but are not limited to viral  
25 vectors. Virus-based vectors are one preferred vehicle as they infect cells *in vivo*, wherein during the infection process the viral genetic material is transferred into the cells. A retroviral vector, such as a plasmid containing AAV (Adeno-associated virus) sequences, has been described  
30 previously (see for example, U.S. Patent No. 5,252,479, herein incorporated by reference). In one embodiment, the AAV vector contains inverted terminal repeats (ITR) with a selection marker such as the gene encoding neomycin resistance, an SV40 promoter, a polylinker, and other plasmid



sequences. A promoter in the ITR drives the expression of the neomycin phosphotransferase gene, whereas the SV40 promoter drives expression of the operably linked gene encoding an anti-B cell agent to be expressed. The inverted  
5 terminal repeats of the AAV vector provide a means for integrating the vector, and sequences inserted therein, into the chromosome as the repeats serve as a sequence which has been shown to insert site-specifically, rather than randomly, into chromosomes. Examples of other vectors for the *in vitro* or *in vivo* introduction into mammalian cells include  
10 retroviral vectors, papovavirus episomes (U.S. Patent No. 5,624,820, herein incorporated by reference), and adenovirus vectors (U.S. Patent No. 5,585,362, herein incorporated by reference). Such vectors can utilize tissue-specific  
15 promoters in targeting expression to B cells. For example, B cell-specific promoters are known to those skilled in the art to include, but are not limited to, immunoglobulin promoters, class II transactivator promoter, mb-1 promoter, human B29 gene promoter, and Fc epsilon RII promoter.

20 The term "pro-tumor immune response", for purposes of the specification and claims, means a humoral immune response against a repeated, antigenic carbohydrate determinant of shed tumor antigen that results in immune complexes formed between antibody (particularly IgG) to shed  
25 tumor antigen, and shed tumor antigen. Such immune complexes may then promote tumor progression by one or more mechanisms comprising: binding and crosslinking Fc receptors (e.g., FcγRI) on immune effector cells resulting in the release of inflammatory mediators which promote angiogenesis for, and  
30 invasion by, tumor cells; binding and crosslinking FcγRI on FcγRI-expressing tumor cells resulting in an induction of tumor cell proliferation, and an increase in the amount of shed tumor antigen released by the tumor cells; and binding

and crosslinking Fc receptors (e.g., FcγRI) on Fc receptor  
expressing endothelial cells resulting in an induction of  
endothelial cell proliferation and/or release of factors  
promoting angiogenesis. Immune effector cells are host  
5 cells which are mediators of inflammation and/or angio-  
genesis (e.g., one or more of granulocytes, macrophages,  
vascular endothelial cells) that are capable of inducing a  
cascade of processes which promote tumor progression.

-----

10           The present invention relates to a discovery by  
the inventor that in a pro-tumor immune response, a  
significant (detectable) number of B cells comprising shed  
tumor antigen-specific B cells and B cells of altered  
phenotype may be found in tissues of an individual having a  
15 pro-tumor immune response. Such B cells may be retained  
locally in lymphoid tissues containing persisting deposits  
of shed tumor antigen, and/or may be circulating in body  
fluids such as peripheral blood, and/or may be infiltrating  
a tumor, if present. In a preferred embodiment, a pro-tumor  
20 immune response may comprise: contact of shed tumor antigen  
with B cells resulting in shed tumor antigen-specific B  
cells, and ultimately resulting in plasma cells secreting  
anti-shed tumor antigen antibody (in a preferred class as  
IgG) (hence, an example of B cell "involvement" in a pro-  
25 tumor immune response); lymphoid tissues containing  
follicular dendritic cells presenting shed tumor antigen, in  
the form of immune complexes, to B cells, and wherein such  
follicular dendritic cells may serve as a persisting source  
of antigen presentation; and immune complexes (containing  
30 shed tumor antigen complexed to anti-shed tumor antigen  
antibody) in sufficient amounts which may act to promote  
tumor progression. Such immune complexes may promote tumor  
progression by one or more mechanisms, such as: (a) acting  
as a chemoattractant in causing immune effector cells to

migrate to localized deposits of such immune complexes; (b) by binding Fc receptors on granulocytes and macrophages which may then mediate release of tissue degrading enzymes which can degrade connective tissue matrix (facilitating invasion), and cytokines/growth factors which can promote angiogenesis, (c) by binding Fc receptors on tumor cells which induces tumor growth and/or an increase in production and secretion of shed tumor antigen, and (d) by binding to endothelial cells and causing angiogenesis and/or vasodilation.

According to one embodiment of a method according to the present invention for treating a pro-tumor immune response by depleting B cells that may be involved in progression of solid, non-lymphoid tumors, administered to an individual is a therapeutically effective amount of an immunotherapeutic composition. The immunotherapeutic composition may be administered by a mode which facilitates infusion into a vascular access of the individual's tissue or organ to be treated, thereby delivering the immunotherapeutic composition in a site-directed manner. A vascular access may comprise a blood vessel, lymphatic vessel, or a combination thereof, that serves the targeted tissue or organ. The tissue may comprise lymphoid tissue; solid, non-lymphoid tumor tissue, or a combination thereof; and the organ may comprise the organ in which such tissue is present. The immunotherapeutic composition may be administered by itself, or as part of a regimen of anticancer therapy (e.g. in conjunction with one or more chemotherapeutic agents, one or more anti-inflammatory agents, one or more anti-B cell agents, or a combination thereof).

In another embodiment of a method according to the present invention for treating a pro-tumor immune response by depleting B cells that may be involved in progression of solid, non-lymphoid tumors, administered to an individual is

a therapeutically effective amount of an immunotherapeutic composition by a mode which facilitates infusion into peripheral blood (e.g., intravenously). The immunotherapeutic composition may be administered by itself, or as part of a regimen of anticancer therapy (e.g. in conjunction with one or more chemotherapeutic agents, one or more anti-inflammatory agents, one or more anti-B cell agents, or a combination thereof). In another embodiment, the method comprises administering a therapeutically effective amount of an immunotherapeutic composition which further comprises a therapeutically effective amount of an anti-B cell agent. The anti-B cell agent may act directly on the B cell or may be encoded by a vector which induces expression of the anti-B cell agent in an individual's B cells. The vector, in accordance with the present invention, is a vehicle for introducing into, and expressing in B cells a therapeutically effective amount of a gene encoding an anti-B cell agent. The affinity ligand facilitates selectively delivery of the vector to the targeted B cells.

In these illustrated embodiments, the immunotherapeutic composition may further comprises a pharmaceutically acceptable carrier. Such pharmaceutically acceptable carriers are known in the art to include, but are not limited to, physiological solutions, sterile water, liposomes, other delivery vehicles, and compositions which facilitate infection or introduction of the vector into B cells (e.g., such compositions may include, but are not limited to, microparticles which permit or enhance uptake or introduction of vector into the target cells).

For purposes of the description, the methods and compositions of the present invention will be illustrated in the following examples.

**EXAMPLE 1**

This, and the following embodiments, provide evidence of the B cell involvement, and the specific type of immune response related thereto, which promotes tumor progression and metastasis. In those embodiments illustrated in Examples 1-4 herein, it is important to consider the following concept. Various strains of mice were used as a standard animal model for evaluating whether a B cell response may be involved in promotion of tumor progression.

10 In the tumor bearing mice of B cell competent strains, a similar proliferative germinal center B cell response was observed in lymph nodes regional to a primary tumor as observed in tumor bearing humans. In that regard, and to assess whether B cells are effector cells of, at least in part, a tumor promoting immune response, an *in vivo* standard experimental model comprising tumor bearing mice was used.

15 One group of C57 $\mu$ MT/ $\mu$ MT ("B cell deficient"; i.e., do not develop competent B cell system) mice was injected intrasplenically with  $10^6$  B16F10 melanoma tumor cells. One group of C57BL/6 (immunocompetent) mice was injected intrasplenically with  $10^6$  B16F10 tumor cells. One group of mice (control) received PBS only. Fourteen days postinjection, spleens from the three groups of mice were evaluated for tumor growth by measuring spleen weight. For spleen weight determinations, the spleens were removed; dried by immersion in 100% ethanol for seven days during which period the ethanol evaporated; and the dried spleens were weighed, and an average for the group reported. As shown in FIG. 1, the spleen weight was significantly decreased in B cell deficient (C57 $\mu$ MT/ $\mu$ MT) mice, as compared to B cell-competent mice, indicative of a decreased ability of B cell deficient mice to mediate tumor progression.

20  
25  
30

Lung metastases formation involves cell arrest (non-anchorage conditions), extravasation (anchorage condition) and colony formation (anchorage/non-anchorage conditions). To assess whether B cells are effector cells (at least in part) of a metastatic effect, a model for metastatic growth was used. It is known by those skilled in the art that injection of B16F10 cells via the tail vein of mice typically results in the formation of lung metastases. One group of C57BL/6 mice was injected via the tail vein with  $10^6$  B16F10 cells. One group of C57 $\mu$ MT/ $\mu$ MT mice was injected via the tail vein with  $10^6$  B16F10 cells. Fourteen days postinjection, the lungs from the two groups of mice were evaluated for tumor growth macroscopically, and the number of metastases counted. As shown in FIG. 2, the average number of lung metastases was significantly decreased in B cell deficient (C57 $\mu$ MT/ $\mu$ MT) mice as compared to immunocompetent (C57BL/6) mice. The significant decrease also represents the lack of several of the B cell deficient mice to develop any detectable metastases in the lung. These results confirm the results illustrated in FIG. 1 herein, that there is a decreased ability of B cell deficient mice to mediate tumor progression (including metastasis) as compared to normal mice. In summary, these results are evidence of a subpopulation of B cells are involved in an immune response which can mediate tumor progression.

## EXAMPLE 2

To assess whether different populations of B lymphocytes could promote growth of the tumors *in vivo*, tumor growth in CH3 mammary gland tumor bearing mice was compared when the mice were injected every 2 days for a 14 day period with either B lymphocytes (50,000 cells) isolated from normal mouse spleen; B lymphocytes isolated from

lymphoid tissues (e.g., spleens) of tumor bearing mice (50,000 cells), or tumor infiltrating B lymphocytes (B-TIL; 50,000 cells) isolated from tumors of tumor bearing mice. Isolations of B lymphocytes were performed by magnetic separation methods known in the art. After the 14 day period, liver metastasis and spleen tumor growth (tumor + metastasis score) were evaluated and scored. As shown in FIG. 3, B-TIL and B lymphocytes from spleens of tumor bearing mice ("T-Spl") each promoted statistically significant tumor growth and metastasis *in vivo*, whereas B lymphocytes from normal spleen ("N-Spl") did not enhance either tumor growth or metastasis. An important conclusion that can be drawn from these results is that to gain the ability to promote tumor progression, B lymphocytes must first be exposed to tumor antigens (e.g., prior contact with shed tumor antigen).

### EXAMPLE 3

This Example illustrates that B-TIL promotion of tumor growth *in vitro* can be mediated via a direct action by B-TIL that does not require a T cell intermediary response; but may also act synergistically with CD4+ T cells, when present. In this illustration, several populations of lymphocytes were isolated using magnetic bead separation techniques from Met 129 tumors removed from C3H mice. Met 129 tumors are high mucin secretors. The lymphocyte populations included tumor infiltrating B lymphocytes (B-TIL), tumor infiltrating CD4+ lymphocytes (CD4+), and tumor infiltrating CD8+ lymphocytes (CD8+). Ten thousand Met 129 cells were cultured in 1.5 ml of tissue culture medium supplemented with 10% fetal bovine serum (FBS) per well in 24 well plates alone, or in the presence of either 10,000 CD8+ cells, in the presence of 10,000 CD4+ cells, in the presence of 10,000 CD8+ cells and 10,000 CD4+ cells, in the

presence of 10,000 B-TIL, in the presence of 10,000 B-TIL and 10,000 CD8+ cells, or in the presence of 10,000 CD8+ cells, 10,000 CD4+ cells and 10,000 B-TIL. After 72 hours of co-incubation in monolayer culture, Met 129 tumor cell growth was quantitated using Alcian blue staining; e.g., adherent mucin-producing cells (Met 129 tumor cells) were counted. As shown in FIG. 4, CD8+ cells co-incubated with Met 129 (Met 129 +, CD8+ +, CD4+ -, B -) resulted in a statistically significant reduction in tumor cell growth, and thus appeared to effect Met 129 tumor cell death when compared to the control of Met 129 alone (Met 129 +, CD8+ -, CD4+ -, B -). Likewise, a slight reduction in tumor growth or no increase in tumor growth, as compared to the control, was observed when Met 129 tumor cells were co-incubated with either CD4+ cells (Met 129 +, CD8+ -, CD4+ +, B -), in the presence of CD8+ cells and CD4+ cells (Met 129 +, CD8+ +, CD4+ +, B -), or in the presence of B-TIL and CD8+ cells (Met 129 +, CD8+ +, CD4+ -, B +). In contrast, statistically significant increased tumor cell growth was observed when B-TIL were co-incubated with Met 129 tumor cells (FIG. 4: Met 129 +, CD8+ -, CD4+ -, B +), and when CD8+ cells, CD4+ cells and B-TIL were co-incubated with Met 129 tumor cells (FIG. 4: Met 129 +, CD8+ +, CD4+ +, B +), as compared to growth of the control of Met 129 tumor cells alone (Met 129 +, CD8+ -, CD4+ -, B -).

In summary, these results indicate that B-TIL alone can promote tumor growth *in vitro* via a mechanism involving B-TIL that does not require a T cell intermediary response (FIG. 4: Met 129 +, CD8+ -, CD4+ -, B +). However, it appears that B-TIL may also act synergistically with CD4+ T cells in promoting tumor growth (FIG. 4: Met 129 +, CD8+ +, CD4+ +, B +). In that regard, it is interesting to note that CD4+ cells alone could not exert a significant tumor promoting effect, but CD4+ cells in combination with B-TIL



and CD8+ cells mediated greater tumor progression than did B-TIL alone. Further experiments have identified V $\alpha$ 3+, CD4+ cells as CD4+ effectors which can promote tumor growth.

5

**EXAMPLE 4**

In this illustration, an immunotherapeutic agent was administered to a tumor bearing animal, wherein the immunotherapeutic agent is one which would target B cells to interrupt the host B cell intermediary (pro-tumor) response in a tumor bearing animal, thereby affecting tumor progression. Fifty three C3H mice were injected intra-splenically with 10<sup>6</sup> Met 129 tumor cells. The injected mice were then divided into two treatment groups. One group of 28 mice was injected with an irrelevant (not directed against any specific mouse antigen) goat IgG antibody (170  $\mu$ g per injection) at days 5, 7, and 9 following tumor challenge. A second group consisted of 25 mice injected with goat anti-mouse IgG and goat anti-mouse IgM (170  $\mu$ g per injection) at days 5, 7, and 9 following tumor challenge. The goat anti-mouse IgG and IgM antibody used to deplete the C3H mice of their B cells, thereby interrupting the host B cell-mediated pro-tumor immune response. At 22 days following tumor challenge, the two groups of mice were analyzed for primary tumor growth in the spleen, metastasis to the liver, and extra-regional metastasis (abdominal lymph nodes). Table 2 shows one experiment in which compared was primary tumor growth, and the incidence of liver metastasis ("Liver Met.") and extra-regional metastasis ("Extra-R Met.") in the mice treated with irrelevant goat IgG ("Goat-IgG"), and mice treated with goat anti-mouse IgG and goat anti-mouse IgM ("Anti-IgG Anti-IgM"). Table 2 shows that there is a statistically significant reduction in the incidence of metastasis in the immunotherapeutically treated

(B cell-depleted) mice ("Anti-IgG Anti-IgM") as compared to the control group receiving irrelevant IgG.

Table 2

Observed	Goat-IgG Control	Anti-IgG Anti-IgM
Tumor	8 of 8	6 of 6
Liver Met.	5 of 8	0 of 6
Extra-R Met.	6 of 8	0 of 6

5

Spleen tumor was scored and compared among the two groups of mice. Treatment of the tumor bearing mice with either goat IgG, or goat anti-mouse IgG and goat anti-mouse IgM antibody, had little effect on the growth of the primary tumor in the spleens. In contrast, as shown in FIG. 5, mice receiving immunotherapeutic treatment with goat anti-mouse IgG and goat anti-mouse IgM antibody, thereby having a relative B cell depletion as compared to the control group, showed a statistically significant reduction in the incidence of extra-regional metastasis and liver metastasis as compared to the extra-regional metastasis and liver metastasis exhibited by the control group of mice treated with irrelevant goat IgG. The results in FIG. 5 are normalized values from two experiments. It is important to note that at least 50% of the mice receiving immunotherapeutic treatment with goat anti-mouse IgG and goat anti-mouse IgM, and hence having a relative B cell depletion, did not develop detectable metastases.

In summary, the results illustrated in Table 2, and FIG. 5 further support the finding that a host B cell response (B cell involvement in a pro-tumor immune response) is required for statistically significant promotion of tumor progression. Additionally, the results illustrated in Table 2, and FIG. 5 further support methods and compositions for

treating a pro-tumor immune response according to the present invention, wherein the treatment comprises administering an immunotherapeutic composition to effect a B cell depletion in a tumor bearing individual having a pro-tumor  
5 immune response, or in an individual having a pro-tumor immune response and from whom the primary tumor has been removed or treated.

#### EXAMPLE 5

10 In this Example is illustrated the relative number of memory B cells contained in various locations in humans having a pro-tumor immune response or a pro-tumor immune response and clinically evident solid, non-lymphoid tumor. In this embodiment, memory B cells (CD19+ CD21+) may be  
15 expressed in relation to the total number of B cells (e.g., CD19+). Analysis was by flow cytometry, using standard methods, wherein parameters utilized were light scatter used to gate on (select for) lymphocytes based on the size, granularity and cell volume of the lymphocytes; and  
20 fluorescent emission from the respective fluorescent labeled antibodies used to detect B lymphocyte subpopulations. Mononuclear cells were isolated from the clinical samples using a density gradient medium and by density gradient centrifugation. Aliquots, each of approximately 1 million  
25 cells, were treated in one of several different ways. A first aliquot of cells was left unstained, so as to act as a control for possible auto-fluorescence. A second aliquot of cells was mixed in a staining process with isotype detector molecules. A third aliquot of cells was stained with one or  
30 more detector molecules having binding specificity for B cells (single staining with anti-CD19 antibody labeled with Pe-Cy5) or for memory B cells (e.g., double-staining with anti-CD19 antibody labeled with Pe-Cy5, and an anti-CD21 antibody labeled with FITC). When memory B cells were

quantitated by double-staining (e.g., for CD19 and CD21), the analysis was gated on those cells positive for CD19 expression as determined by detection of Pe-Cy5 fluorescent emission. CD19 positive lymphocytes were considered to  
5 represent the relative total population of B cells in the clinical sample analyzed. CD19 positive lymphocytes were then gated for those cells also positive for CD21 expression as determined by detection of FITC fluorescent emission. Lymphocytes double stained for both CD19 and CD21 were  
10 considered to represent memory B cells. Such CD19+, CD21+ memory B cells were then expressed as a percentage of total B cells by using the formula:

(the relative number of CD19+, CD21+ memory B cells/  
15 relative number of CD19+ B cells) X 100.

Table 3 shows the total number of CD19+ B cells (including memory B cells and other B cell populations expressing CD19, and expressed as a percentage of the total  
20 of white blood cells) in the peripheral blood of individuals having solid, non-lymphoid tumor and a pro-tumor immune response ("Tumor/PTIR") as compared to a percentage of CD19+ B cells from the peripheral blood of apparently healthy individuals ("Baseline control"). As shown in Table 3, the  
25 mean percentage  $\pm$  standard error of the mean (Mean %  $\pm$  SEM) for peripheral blood CD19+ B cells from individuals having solid, non-lymphoid tumor and a pro-tumor immune response is  $2.7 \pm 0.5$ ; whereas the Mean %  $\pm$  SEM for peripheral blood CD19+ B cells from apparently healthy individuals is  $12.7 \pm$   
30  $3.6$ . Thus, there is a statistically significant (P value = 0.0001) decrease in the relative percentage of total (CD19+) B cells in individuals having a pro-tumor immune response as compared to the values in individuals who lack a pro-tumor immune response. Table 3 also shows the percentage of

CD19+, CD21+ B cells from the peripheral blood of individuals having solid, non-lymphoid tumor and a pro-tumor immune response ("Tumor/PTIR") as compared to a percentage of CD19+, CD21+ B cells from the peripheral blood of apparently healthy individuals ("Baseline control"). As shown in Table 3, the mean percentage  $\pm$  standard error of the Mean  $\% \pm$  SEM for peripheral blood memory B cells from individuals having solid, non-lymphoid tumor and a pro-tumor immune response is  $58.2 \pm 5.2$ ; whereas the Mean  $\% \pm$  SEM for peripheral blood memory B cells from apparently healthy individuals is  $22.0 \pm 3.6$ . Thus, there is a statistically significant (P value < 0.0001) increase in the relative percentage of memory B cells in individuals having a pro-tumor immune response as compared to the values in individuals who lack a pro-tumor immune response. Using similar methods as described herein, other altered B cell phenotypes were determined from peripheral blood of individuals having tumor and a pro-tumor immune response ("Tumor/PTIR") as compared to peripheral blood control values ("Baseline control"). Note that B cells hyperexpressing CD21 (CD21++ cells) were distinguished from CD21+ B cells by setting as a lower limit of the range of CD21++ a value which is higher than 95% of the CD21+ values as expressed by B cells of apparently healthy controls. Table 3 shows that altered B cell phenotype comprising peripheral blood CD19+ CD21+ B cells, CD19+ CD21++ B cells, CD19+ sTn+ B cells, and CD19+ CD5+ sTn+ B cells, and a combination thereof, is increased in amount compared to the respective relative baseline control. Further, CD19+ B cells are decreased in amount as compared to the baseline control. Hence, such altered B cell phenotype comprise indicators or parameters for the presence of a pro-tumor immune response (alone or with solid nonlymphoid tumor).

Table 3

B cell subpopulation	Baseline control	Tumor/PTIR
CD19+	12.7 + 3.6	2.7 + 0.5
CD19+ sTn+	1.3 + 0.4	5.9 + 1.5
CD19+ CD21+	22.0 + 3.6	58.2 + 5.2
CD19+ CD21++	3.8 + 1.8	48.8 + 5.3
CD19+CD21+sTn+	29.7 + 5.0	16.1 + 3.7
CD19+ CD5+	1.8 + 0.9	2.4 + 1.1
CD19+CD5+sTn+	0.3 + 0.1	29.0 + 6.3

## EXAMPLE 6

In the previous Examples, it was illustrated that a host B cell response is involved in a pro-tumor immune response which mediates tumor progression and metastasis. These findings in an individual are indicative of one or more subpopulations of B cells as being beneficial for progression; and therefore, detrimental to the individual's prognosis. In such an individual, the B cells may be found in various body tissues. In one embodiment of a method of treating a pro-tumor immune response according to the present invention, administered to an individual is a therapeutically effective amount of an immunotherapeutic composition for depleting B cells that may be present in one or more tissues of the individual. Such tissues may comprise lymphoid tissues (e.g., either regional and/or distal relative to the site(s) of solid, nonlymphoid tumor), solid, nonlymphoid tumor, body fluids such as peripheral blood, or a combination thereof. The administered immunotherapeutic composition subsequently comes in contact with such B cells, and may result in one or more therapeutic functions. The one or more therapeutic functions may include, but is not limited to: B cell depletion; inhibition of proliferation of B cells involved in a pro-tumor immune response; inhibition of the differentiation of B cells into plasma cells which secrete anti-shed tumor antigen antibody;

reducing the number of naïve B cells that could be activated by shed tumor antigen; and causing or inducing depletion of one or more B cell subpopulations that may be involved in a pro-tumor immune response. Thus, a therapeutically effective  
5 amount of the immunotherapeutic composition contacts and binds to one or more determinants on B cells to cause or enable B cell depletion, thereby immunomodulating the immune system to inhibit the tumor-promoting function of B cells involved in a pro-tumor immune response. Inhibiting the  
10 tumor-promoting function of B cells involved in a pro-tumor immune response may also inhibit tumor progression.

Lymphoid tissues and solid, nonlymphoid tumors often are proximal to one or more vessels (blood or lymphatic) that access (feed or extend through) the tissue,  
15 or organ in which is situated the tumor. Thus, to deplete B cells in such lymphoid tissues and/or solid, nonlymphoid tumor, administered is a therapeutically effective amount of the immunotherapeutic composition into a blood vessel or lymphatic vessel in a site-directed method of delivery to  
20 treat a pro-tumor immune response. In one example, a catheter may be inserted into one or more of the major vessels (blood or lymphatic) that access the lymphoid tissue and/or the organ containing the solid, nonlymphoid tumor to be targeted, using standard methods for inserting the  
25 catheter into such vessels, as known to those skilled in the art. Vessels that access the lymphoid tissues and/or solid, nonlymphoid tumor may include vessels accessing organs that are foci of solid, non-lymphoid tumor and/or a pro-tumor immune response. In another example, controlled and site-  
30 directed delivery of the immunotherapeutic composition may be achieved by infusing, such as by a catheter or functionally similar means, a therapeutically effective amount of the composition into one or more vascular accesses that directly supplies the targeted lymphoid tissues and/or the

solid, nonlymphoid tumor. The delivered immunotherapeutic composition may then concentrate primarily in the lymphoid tissue and/or the solid, nonlymphoid tumor; and more specifically to the B cells present, by the binding between the affinity ligand of the immunotherapeutic composition and its target determinant on the B cells. Site-directed delivery of the immunotherapeutic composition does not rule out that a portion of the immunotherapeutic composition may gain access to peripheral blood, and any benefit associated therewith.

The basic principle underlying this site-directed treatment of a pro-tumor immune response, comprising B cell involvement in progression of solid nonlymphoid tumors, according to the present invention involves introducing a therapeutically effective amount of the immunotherapeutic composition into a vascular or lymphatic access of a tissue that is a foci of tumor and/or a pro-tumor immune response. As previously described herein, the tissue may comprise lymphoid tissue, solid nonlymphoid tumor, an organ containing the solid, nonlymphoid tumor, and a combination thereof. The immunotherapeutic composition may be administered by itself, or in conjunction with other anti-cancer treatments (e.g., chemotherapy, treatment with anti-inflammatory agents, radiation therapy, immunotherapy, surgery, and the like). As known to those skilled in the art, methods of site-directed delivery have been used in anticancer therapy. Infusion of chemotherapeutic agents comprising cytotoxic drugs into arteries supplying a targeted tissue in a cancer patient has resulted in significant clinical benefit. For example, hepatic arterial infusion of fluoropyrimidines resulted in superior tumor response in patients as compared to the classical strategy of intravenous chemotherapy. The site-directed immunotherapy of the present invention has the added advantage in that, via the binding specificity of the



affinity ligand, a therapeutically effective amount of the immunotherapeutic composition may be substantially arrested in the targeted tissue by binding to a B cell determinant. The immunotherapeutic composition may further comprise a  
5 pharmaceutically acceptable carrier for facilitating infusion into the vascular or lymphatic access of the individual's tissue(s) to be targeted.

For example, in treating a pro-tumor immune response that is directed to B cells that may be involved in  
10 a pro-tumor immune response and that may be found in lymphoid tissues regional to the liver and/or solid, non-lymphoid tumor in the liver with the immunotherapy according to the present invention, a catheter may be inserted percutaneously into the main hepatic artery, or an accessory  
15 hepatic artery, branches thereof, or a vein supplying the liver (portal vein), wherein the catheter is inserted via the femoral artery such as under image intensification. Where the B cells may be present in lymphoid tissues regional to the lung, and/or solid, nonlymphoid tumor in the lung  
20 with the immunotherapeutic composition according to the present invention, a catheter may be inserted into the pulmonary artery, or an accessory artery or branches thereof, wherein the catheter is inserted using standard methods for inserting the catheter into such vasculature, as known  
25 to those skilled in the art. In targeting lymphoid tissues directly with the immunotherapeutic composition for treating a pro-tumor immune response according to the present invention, a catheter may be inserted into one or more of the major nodal arteries or lymphatic vessels that supply  
30 the lymphoid tissue, or an accessory or branches thereof, wherein the catheter is inserted using standard methods for inserting the catheter into such vessels, as known to those skilled in the art. In any of the above examples, the treatment may further comprise multiple infusions of a therapeu-

tically effective amount of the immunotherapeutic composition over time, as monitored by treatment response and by indicia of local toxicity, or atrophy, or of a therapeutic effect. Further, the catheter may be operatively connected to a portable pump such that the immunotherapeutic composition may be administered intermittently or continuously. As apparent to those skilled in the art, what constitutes a "therapeutically effective amount" of the immunotherapeutic composition will depend upon such factors which include, but are not limited to, size and weight of the individual to be treated, stage of disease progression in the individual to be treated, affinity and avidity of the affinity ligand for the B cell determinant, amount or degree of B cell involvement in a pro-tumor immune response at the time of treatment, the size and location of the vascular access for delivering the immunotherapeutic composition to the targeted tissue of the individual, and the mode of administration for the site-directed delivery.

20

**EXAMPLE 7**

In this example, illustrated is an embodiment of treating B cell involvement in a pro-tumor immune response according to the present invention, wherein a therapeutically effective amount of the immunotherapeutic composition is administered in conjunction with chemotherapy in a site-directed delivery. The general objectives of this combined therapy are to reduce or arrest the growth of the residual mass of the solid, nonlymphoid tumor, and to cause B cell depletion. For example, site-directed treatment of recurrent colorectal cancer may comprise combined therapy comprising regional chemotherapy, and treatment of a pro-tumor immune response with the immunotherapeutic composition according to the present invention. In another example, site-directed treatment of recurrent colorectal cancer may

comprise combined therapy comprising treatment with a pharmaceutically effective amount of an anti-inflammatory agent, and treatment of a pro-tumor immune response with a therapeutically effective amount of an immunotherapeutic composition according to the present invention. In one embodiment, the combined therapy is administered to an individual after surgery, wherein surgery comprised an incomplete tumorectomy, and wherein liver metastases still remain.

To illustrate such combined therapy, and using methods known to those skilled in the art, administered through a catheter is (a) a pharmaceutically effective amount of a chemotherapeutic drug combination, and (b) a therapeutically effective amount of the immunotherapeutic composition, in a process known as hepatic arterial infusion. Alternatively, the hepatic artery may be chemo-embolized (thereby temporarily blocking off the blood flow to the liver), so that the combined therapy is substantially localized to the liver and lymphoid tissues regional to the liver. Hepatic arterial infusion and hepatic arterial chemo-embolization are standard methods used in the treatment of liver metastases from colorectal cancer and from breast cancer. In an embodiment of the combined therapy wherein hepatic chemo-embolization is performed, the one or more chemotherapeutic agents is administered in conjunction with a embolic agent as known to those skilled in the art.

As to the combined therapy, there are various chemotherapeutic agents and anti-inflammatory agents known in the art which may be used. The pharmaceutically effective dosage of these agents depend on factors that may include the properties of the specific agent(s) used (chemical nature, rate of metabolism, rate of clearance, and the like), the tumor type targeted, and physical and metabolic variables associated with the individual receiving treat-

ment. Exemplary combinations and pharmaceutically effective dosages of chemotherapeutic agents known in the art include, but are not limited to, a combination of cisplatin (e.g., 122 mg), mitomycin C (e.g., 15 mg), doxorubicin (e.g., 55 mg), and 5-fluorouracil (5FU; e.g., 900 mg); doxorubicin (e.g., 30 mg), cisplatin (e.g., 100 mg) and mitomycin C (e.g., 30 mg); pirarubicin (THP) and lipiodol emulsion; 5FU-lipiodol emulsion; epirubicin, cisplatin, and lipiodol emulsion; adriamycin (e.g., 40-50 mg) and lipiodol; and irinotecan hydrochloride (CPT11, e.g., 50-60 mg) in combination with either 5-FU or cisplatin or carboplatin or etoposide. FIG. 6 illustrates arteries which may be accessed by catheter for site-directed delivery of a therapeutically effective amount of the immunotherapeutic composition (by itself or as part of combined therapy) to tissues (e.g., solid, nonlymphoid tumors and/or lymphoid tissues) in the regions of the abdomen and colon. FIG. 7 illustrates site-directed delivery of a therapeutically effective amount of an immunotherapeutic composition (by itself or as part of combined therapy) to tissues (e.g., solid, nonlymphoid tumors and/or lymphoid tissues) in the region of the abdomen only, using catheterization into the common hepatic artery via the celiac trunk. FIGs. 8A and 8B illustrate site-directed delivery of a therapeutically effective amount of an immunotherapeutic composition (by itself or as part of combined therapy) to tissues (e.g., solid, nonlymphoid tumors and/or lymphoid tissues) in the regions of the abdomen and colon, using catheterization into the common hepatic artery via the celiac trunk (FIG. 8A), and via the same catheter, administered through the superior mesenteric arteria (FIG. 8B).

In continuing with this example, administered to an individual having residual colorectal cancer with liver metastases is a pharmaceutically effective amount of a

chemotherapeutic agent combination comprising adriamycin (100 mg), cisplatin (50 mg), and mitomycin C (10 mg), via intra-arterial chemo-embolization, and a therapeutically effective amount of the immunotherapeutic composition comprising a chimeric anti-CD20 mAb (RITUXAN<sup>TM</sup>; see, e.g., U.S. Patent No. 5,736,137; e.g., 40 mg), into the common hepatic artery via the celiac trunk; and via the same catheter, administered through the superior mesenteric arteria, is the chimeric anti-CD20 mAb (60 mg). The same or similar procedure may be repeated (e.g., twice at approximately 5 weeks apart). Various parameters may be used to monitor the effect of such combined therapy. For example, the relative number of one or more peripheral blood B lymphocyte subpopulations (e.g., see Table 3) of the treated individual may be assessed (e.g., weekly, bi-weekly, or monthly). Additionally, the serum concentration of shed tumor antigen, and/or of IgG and IgM anti-shed tumor antigen antibody, and or immune complexes comprising shed tumor antigen complexed to anti-shed tumor antigen antibody, may be assessed (e.g., by an immunoassay), wherein a reduction of one or more of these serological parameters is an indicator of possible therapeutic benefit resulting from the treatment. Also, the CD4/CD8 ratio of peripheral blood lymphocytes may be assessed (e.g., by flow cytometry). Imaging of the tumor (e.g., bimonthly by CT-scan or MRI or PET-Scan) may also be used to assess status of the tumor during and after treatment. Tumor markers (e.g., CEA and CA19.9) found in the peripheral blood may also be used to assess status of the tumor during and after treatment. As will be apparent to one skilled in the art, essentially the same procedures outlined above (for treatment of an individual having residual colorectal cancer with liver metastases) may be used to treat an individual for treatment of recurrence of colorectal tumor with liver metastases.

**EXAMPLE 8**

In this example, illustrated is an embodiment of treating B cell involvement in a pro-tumor immune response according to the present invention, wherein a therapeutically effective amount of the immunotherapeutic composition is administered to an individual having, or are suspected of having, a pro-tumor immune response. Individuals who may be included in this type of protocol may be individuals having a pathology selected from the group consisting of pre-cancerous lesions, early stage cancer (Stage I or Stage II solid, nonlymphoid tumors); advanced cancer (solid, non-lymphoid tumors and their metastases- Stage III or Stage IV); parameters which are indicators of a pro-tumor immune response (see, e.g., Table 3 herein); or those who are in apparent remission of a solid, nonlymphoid tumor. The objective of this B cell treatment is to cause B cell depletion. For the individual having either early stage cancer or advanced cancer, B cell depletion comprises reducing the number of B cells present in a tissue selected from the group consisting of lymphoid tissues which are regional to solid, nonlymphoid tumor, solid, nonlymphoid tumor, peripheral blood, and a combination thereof. For individuals who are in apparent remission of a solid, nonlymphoid tumor, B cell depletion comprises reducing the number of B cells present in a tissue selected from the group consisting of peripheral blood, lymphoid tissues which are regional to the sites where the solid, nonlymphoid tumor existed at one time, but at which there is no apparent tumor at the time of treatment, and a combination thereof. For the individual having parameters of a pro-tumor immune response, and no clinical evidence of solid, nonlymphoid tumor, B cell depletion comprises reducing the number of B cells present in a tissue selected from the group consisting of peripheral blood, lymphoid tissues which contain shed

tumor antigen, and a combination thereof. The immunotherapeutic composition may be administered to the individual in any one or more modes which may be used to achieve the objective of this B cell treatment. In a preferred embodiment, the administration of a therapeutically effective amount of the immunotherapeutic composition to an individual, in performing the method according to the present invention, is parenteral. The term "parenteral" includes administration intravenously, intramuscularly, subcutaneously, rectally, vaginally, or intraperitoneally. The most preferred parenteral administration is intravenous administration. Alternatively, and as previously described in more detail herein, administration of a therapeutically effective amount of the immunotherapeutic composition to an individual, in performing the method according to the present invention, may be site-directed by use of catheterization or functionally similar means.

For example, for an individual who has advanced colorectal cancer, or for an individual who is in apparent remission of colorectal cancer, or in an individual having parameters indicative of the presence of a pro-tumor immune response, administered to the individual is a therapeutically effective amount of the immunotherapeutic composition. As will be apparent to one skilled in the art, a therapeutically effective amount (effective dosage), and whether repeated dosages may be warranted, will depend on such factors as the stage of development of the pro-tumor immune response, overall health of the individual to be treated, other treatments which the individual may be undergoing, and pharmacokinetic properties of the type of the immunotherapeutic composition being used. For example, for an immunotherapeutic composition comprising a chimeric anti-CD20 mAb, a therapeutically effective dose may range from about 0.01 mg/kg of body weight to about 40 mg/kg of body weight.

However, as apparent to one skilled in the art, and in the discretion of a medical practitioner, a treatment may be warranted with a dosage falling inside or outside of this illustrative range.

5           In an illustration of parenteral administration, a therapeutically effective amount of the immunotherapeutic composition comprising a chimeric anti-CD20 mAb may be administered by intravenous injection. In an illustrative alternative embodiment comprising a site-directed adminis-  
10   tration, a therapeutically effective amount of the immunotherapeutic composition comprising a chimeric anti-CD20 mAb (e.g., 40 mg) is administered through a catheter via the celiac trunk; and via the same catheter, administered  
15   through the superior mesenteric arteria, is a therapeutic-ally effective amount of the immunotherapeutic composition comprising chimeric anti-CD20 mAb (e.g., 60 mg). In either illustrative embodiment, the dosage may be repeated, depend-  
20   ing upon changes in the tumor status, and measurable parameters of efficacy of the treatment. Various parameters may be used to monitor the effect of treating B cells in the  
treated individual; wherein the parameters may include, but are not limited to, relative counts of peripheral blood B lymphocyte subpopulations (e.g., see Table 3), serum con-  
25   centration of shed tumor antigen and/or of IgG and IgM anti-shed tumor antigen antibody and/or immune complexes compris-  
ed thereof, blood tumor markers, and imaging of the non-lymphoid tumor (e.g., to assess tumor status in the individ-  
uals with such advanced cancer) after each dosage or series of dosages comprising the treatment.

30           To illustrate an embodiment of the method of treating B cells according to the present invention, administered to individuals having advanced cancer (Stage IV, solid, nonlymphoid tumor) and a pro-tumor immune response was a therapeutically effective amount of an



immunotherapeutic composition comprising a chimeric anti-CD20 mAb. To each individual was administered, by intravenous infusion, an initial dosage of 200 mg of the immunotherapeutic composition; and then administered were two additional infusions spaced apart by four weeks. Thus, three complete infusions were administered: the initial treatment (week 0), one at week 4, and one at week 8. Generally, the first infusion was at an initial rate of about 50 mg/hour; however, additional infusions were administered at a faster rate which was dependent on how the individual tolerated infusion, the treating physician's judgment, drug manufacturer's instructions, and lack of side effects. As shown in FIGs 9-13, treatment according to the present invention of 3 individuals (■, ▲, ▼ ) with a therapeutically effective amount of the immunotherapeutic composition resulted in a depletion in overall B cells (CD19+ cells; FIG. 9) and B1 cells (CD19+ CD5+ cells; FIG. 13), and normalization of the amounts of B cell subpopulations comprising altered B cell phenotype (FIG. 10, CD19+ sTn+ cells; FIG. 11, CD19+ CD21+ sTn+ cells; and FIG. 12, CD19+ CD21+ cells) to within a range observed in apparently healthy individuals. Such an observed effect of the immunotherapeutic composition on altered B cell phenotypes in a treated individual may be an indicator that depletion of B cells may result in a therapeutic benefit to the treated individual.

Clinical evaluation of individuals treated with the method according to the invention, such as by an embodiment illustrated above, has indicated that depletion of B cells can result in a therapeutic benefit to the treated individual. For example, one of the treated individuals whose B cell depletion is noted in FIGs. 9-13, underwent imaging of the individual's liver metastases

before, and after, treatment according to a method of the present invention. The imaging results of the metastases before treatment, as compared to those after treatment, revealed that the liver metastases did not significantly  
5 change in diameter or mass. However, as shown in FIG. 14, a significant change in density was effected as a result of treatment. In FIG. 14, time points A (approximately 8 months before treatment was initiated) & B (just prior to initiation of treatment), and time point C (after a treatment  
10 regimen was completed) are plotted versus transmittance of the respective image of the metastases. Treatment reduces the transmittance, and hence indicates an increase in density, as shown by comparing time point C to time point B. Taken together, the increase in density along with the  
15 observed dispersal of the metastases and the infiltration of normal tissue into the metastases as evident from imaging at time point C, is an indication that the metastases are undergoing fibrosis as a result of the treatment.

20

The foregoing description of the specific embodiments of the present invention have been described in detail for purposes of illustration. In view of the descriptions and illustrations, others skilled in the art  
25 can, by applying, current knowledge, readily modify and/or adapt the present invention for various applications without departing from the basic concept, and therefore such modifications and/or adaptations are intended to be within the meaning and scope of the appended claims.

30

What is claimed is:

1. The use of an immunotherapeutic composition in the manufacture of a pharmaceutical composition for a procedure for treating B cell involvement in a pro-tumor immune response, wherein the pro-tumor immune response can promote progression of solid, nonlymphoid tumor, wherein the immunotherapeutic composition comprises an affinity ligand which selectively binds to a determinant present on the surface of B cells, wherein the B cells targeted in the procedure and by the immunotherapeutic composition are nonmalignant B cells, wherein the procedure comprises administering to an individual a therapeutically effective amount of the pharmaceutical composition, and wherein contact and binding of the immunotherapeutic composition to the nonmalignant B cells results in B cell depletion.
2. The use of an immunotherapeutic composition according to claim 1, wherein the nonmalignant B cells are B cells selected from the group consisting of mature B cells, memory B cells, B cells of altered phenotype, and a combination thereof.
3. The use of an immunotherapeutic composition according to claim 1, wherein the immunotherapeutic composition further comprises an additional component selected from the group consisting of one or more chemotherapeutic agents, an anti-inflammatory agent, an anti-B cell agent, a pharmaceutically acceptable carrier, and a combination thereof.
4. The use of an immunotherapeutic composition according to claim 1, wherein the immunotherapeutic composition comprises a chimeric anti-CD20 monoclonal antibody.
5. The use of an immunotherapeutic composition according to claim 1, wherein the pharmaceutical composition is administered parenterally.

6. The use of an immunotherapeutic composition according to claim 1, wherein the pharmaceutical composition is administered in a site directed delivery to a localized region or a tissue selected from the group consisting of lymphoid tissue, solid, nonlymphoid tumor, an organ containing the solid, nonlymphoid tumor, and a combination thereof.

7. An immunotherapeutic composition comprising:  
10 (a) an affinity ligand which selectively binds to a determinant present on the surface of B cells, wherein contact and binding of the affinity ligand to the B cells results in B cell depletion; and  
(b) an anti-inflammatory agent.

15 8. An immunotherapeutic composition comprising:  
(a) an affinity ligand which selectively binds to a determinant present on the surface of B cells, wherein contact and binding of the affinity ligand to the B cells results in B cell depletion;  
20 (b) one or more chemotherapeutic agents; and  
(c) an anti-inflammatory agent.

9. The immunotherapeutic composition according to claim 7, further comprising a pharmaceutically acceptable carrier.

10. The immunotherapeutic composition according to claim 8, further comprising a pharmaceutically acceptable carrier.

30 11. The use of an immunotherapeutic composition in the manufacture of a pharmaceutical composition for a procedure for treating B cell involvement in a pro-tumor immune response; wherein the pro-tumor immune response can promote progression of solid, nonlymphoid tumor; wherein the immunotherapeutic composition comprises an affinity ligand  
35 which selectively binds to a determinant present on the

surface of B cells; wherein the B cells targeted in the procedure and by the immunotherapeutic composition are nonmalignant B cells; wherein the procedure comprises administering to an individual a therapeutically effective  
5 amount of the pharmaceutical composition into a vessel that accesses a tissue; wherein the tissue comprises a tissue selected from the group consisting of lymphoid tissue, solid, nonlymphoid tumor, and a combination thereof; and wherein contact and binding of the immunotherapeutic  
10 composition to the nonmalignant B cells results in B cell depletion.

12. The use of an immunotherapeutic composition according to claim 11, wherein the nonmalignant B cells are B cells  
15 selected from the group consisting of mature B cells, memory B cells, B cells of altered phenotype, and a combination thereof.

13. The use of an immunotherapeutic composition according to  
20 claim 11, wherein the immunotherapeutic composition further comprises an additional component selected from the group consisting of one or more chemotherapeutic agents, an anti-inflammatory agent, an anti-B cell agent, a pharmaceutically acceptable carrier, and a combination thereof.

25 14. The use of an immunotherapeutic composition according to claim 11, wherein the immunotherapeutic composition comprises a chimeric anti-CD20 monoclonal antibody.

30 15. The use of an immunotherapeutic composition in the manufacture of a pharmaceutical composition for a procedure for treating B cell involvement in a pro-tumor immune response; wherein the pro-tumor immune response can promote progression of solid, nonlymphoid tumor; wherein the  
35 immunotherapeutic composition comprises an affinity ligand which selectively binds to a determinant present on the

surface of B cells; wherein the B cells targeted in the procedure and by the immunotherapeutic composition are nonmalignant B cells; wherein the procedure comprises administering to an individual a therapeutically effective  
5 amount of the pharmaceutical composition intravenously; and wherein contact and binding of the immunotherapeutic composition to the nonmalignant B cells results in B cell depletion.

10 16. The use of an immunotherapeutic composition according to claim 15, wherein the nonmalignant B cells are B cells selected from the group consisting of mature B cells, memory B cells, B cells of altered phenotype, and a combination thereof.

15 17. The use of an immunotherapeutic composition according to claim 15, wherein the immunotherapeutic composition further comprises an additional component selected from the group consisting of one or more chemotherapeutic agents, an anti-  
20 inflammatory agent, an anti-B cell agent, a pharmaceutically acceptable carrier, and a combination thereof.

18. The use of an immunotherapeutic composition according to claim 15, wherein the immunotherapeutic composition  
25 comprises a chimeric anti-CD20 monoclonal antibody.

30

35

1/8

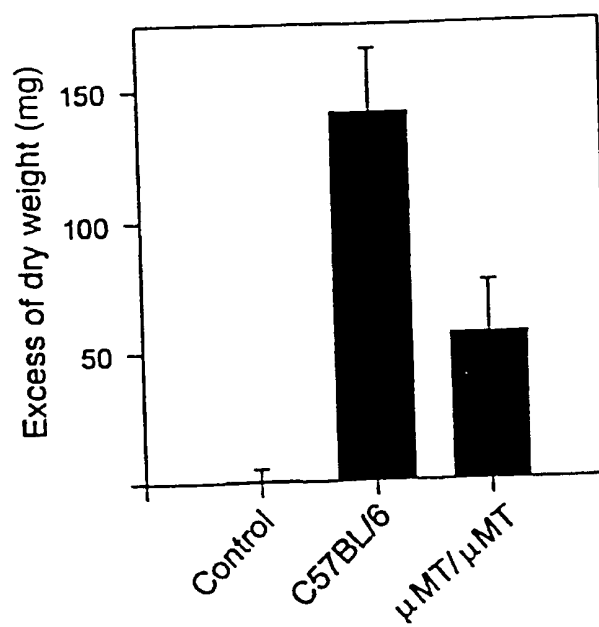


FIG. 1

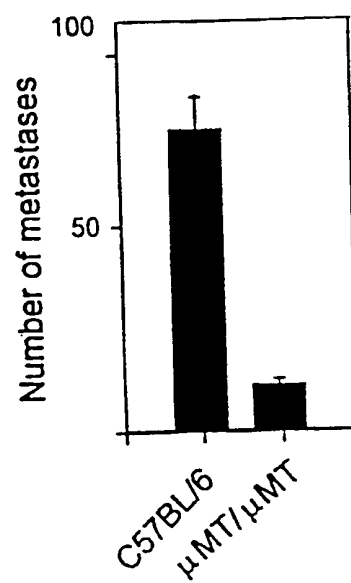


FIG. 2

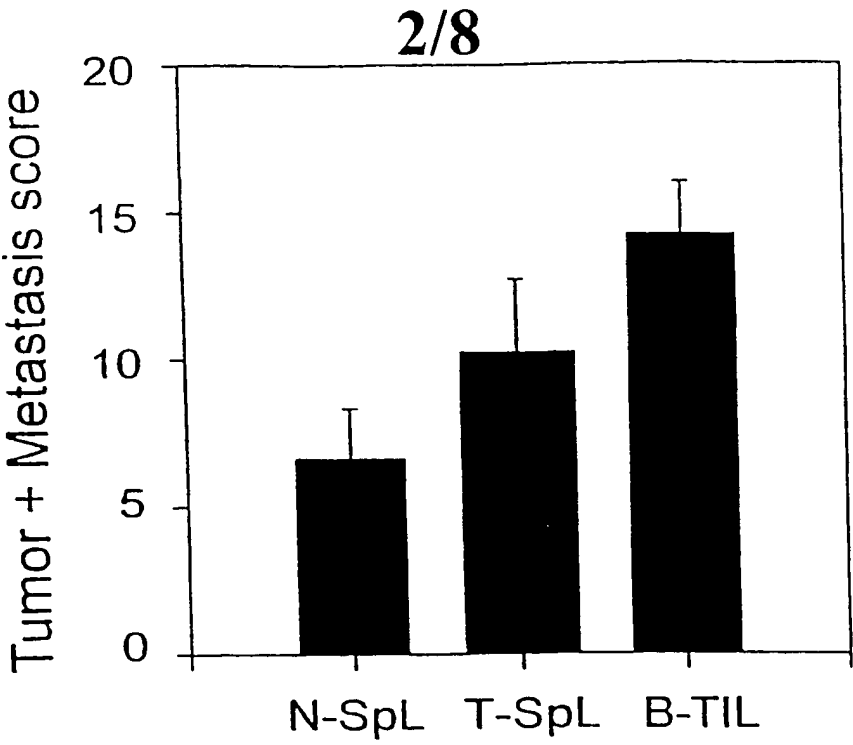


FIG. 3

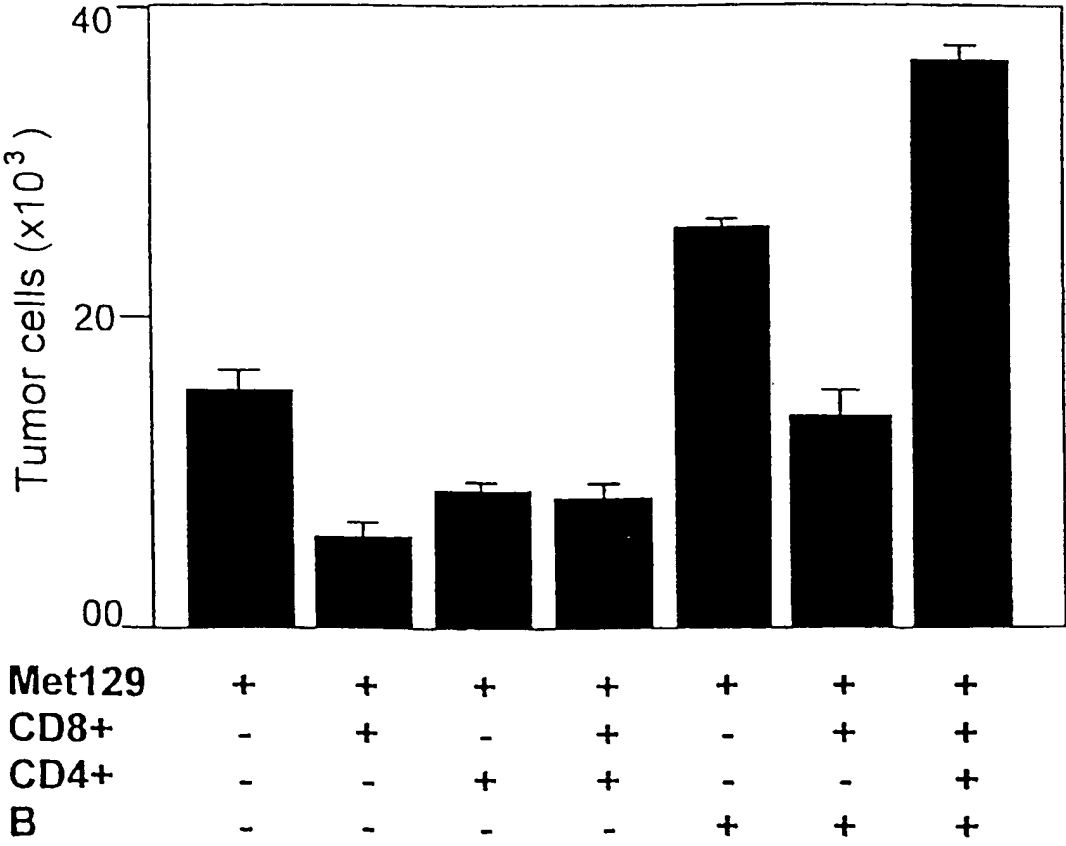


FIG. 4



3/8

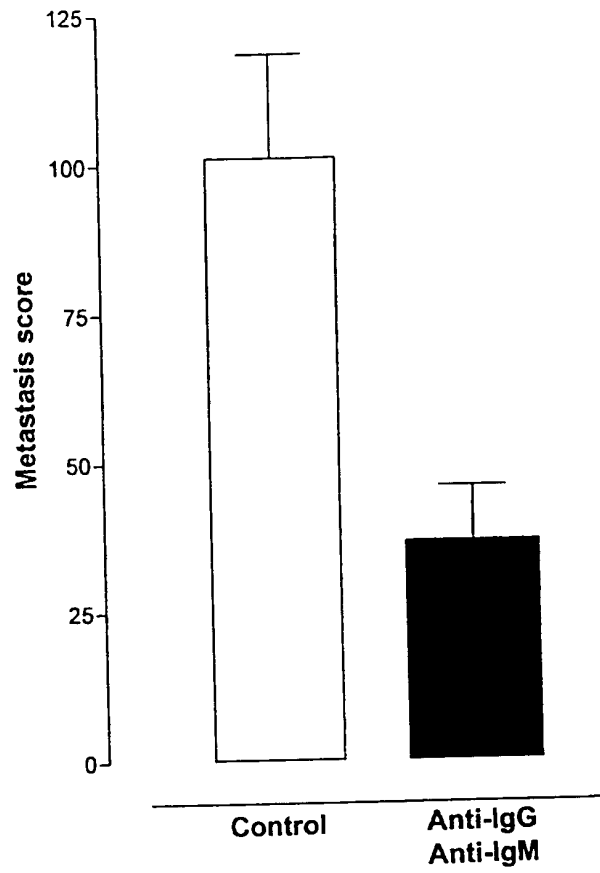


FIG. 5

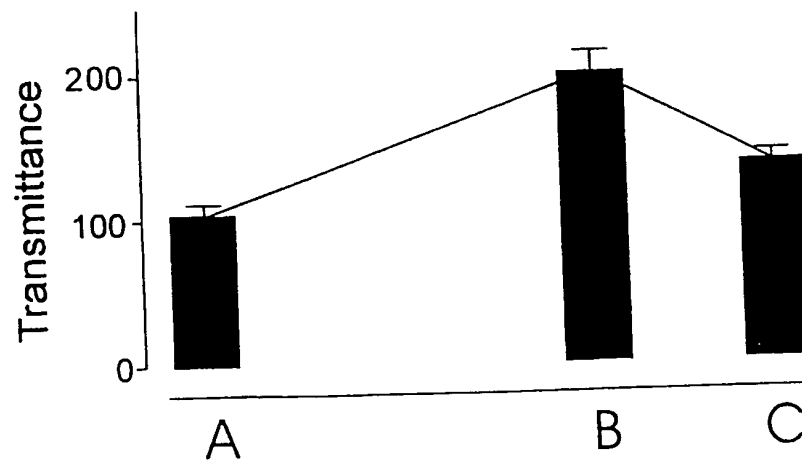
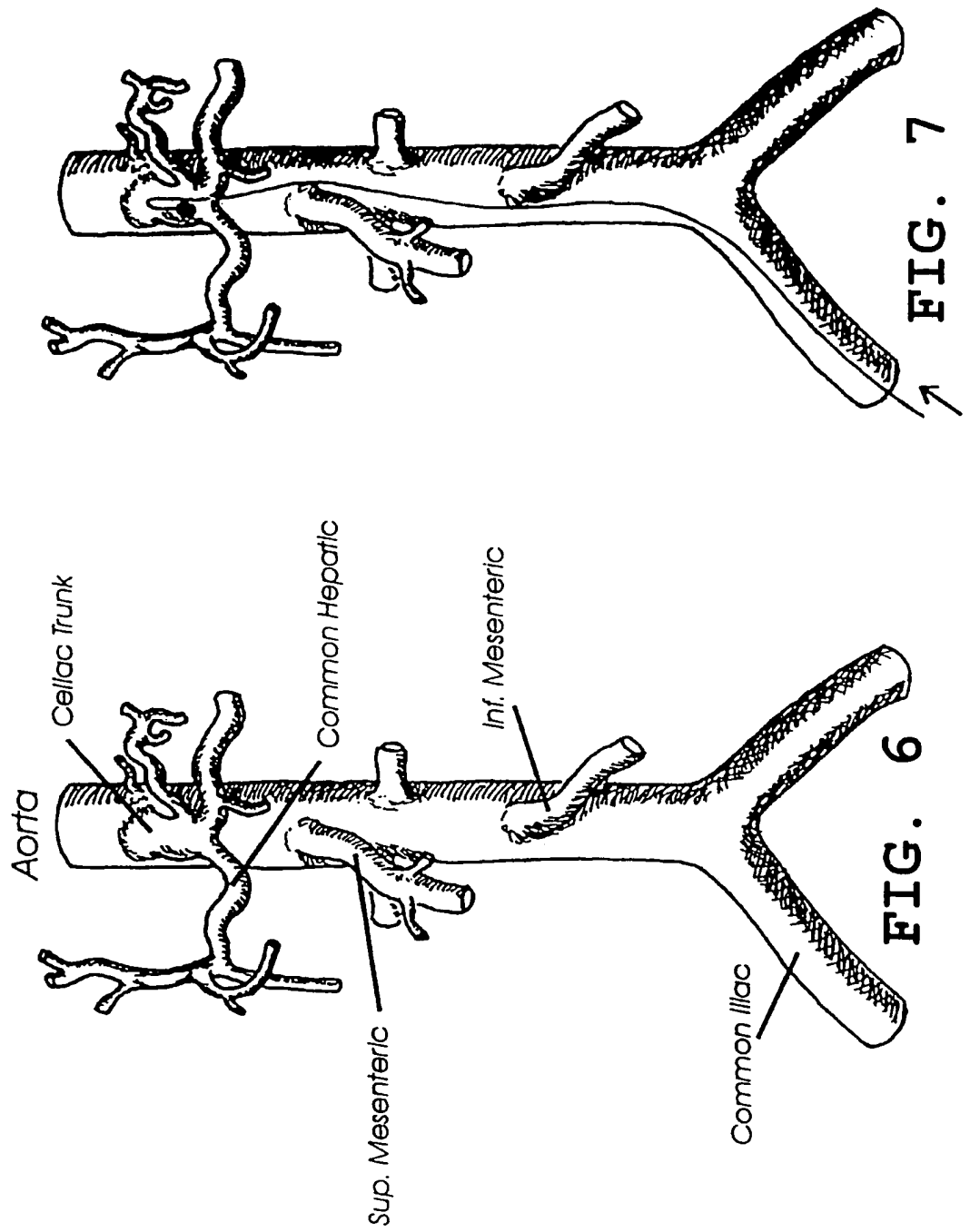
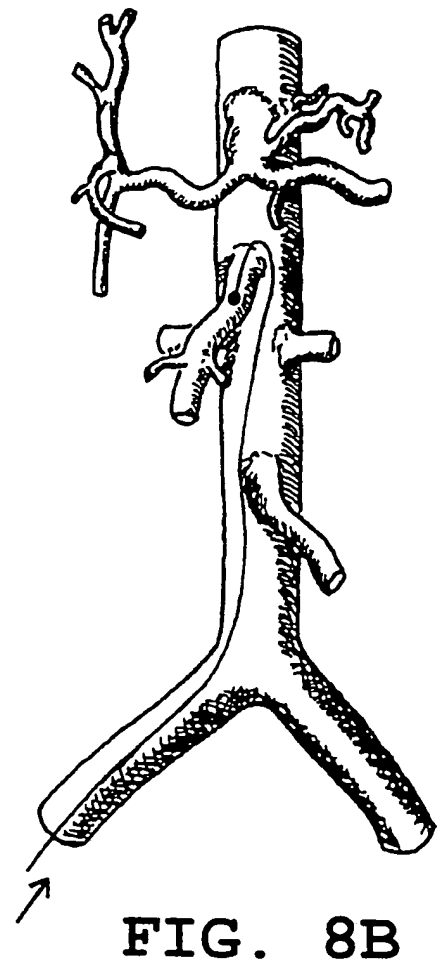
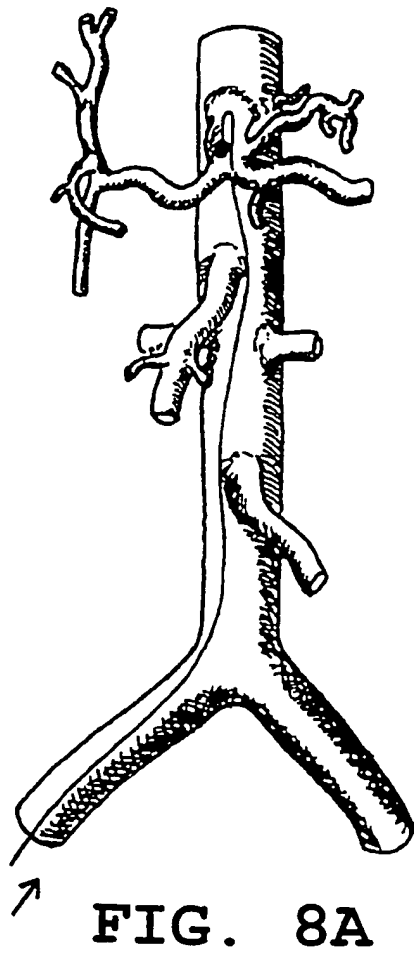


FIG. 14

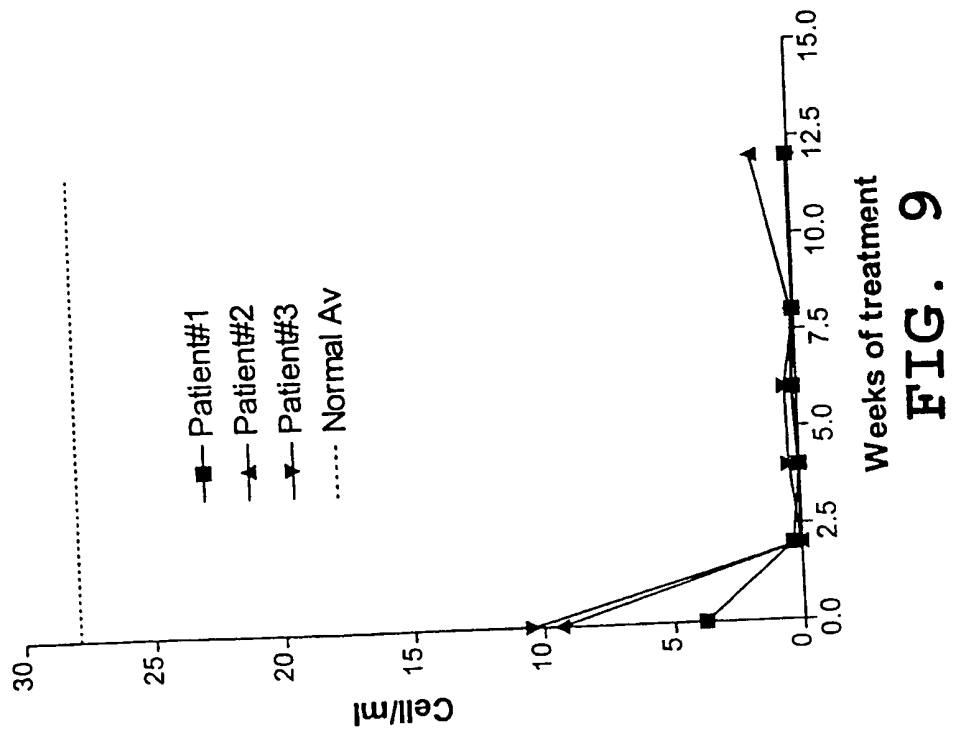
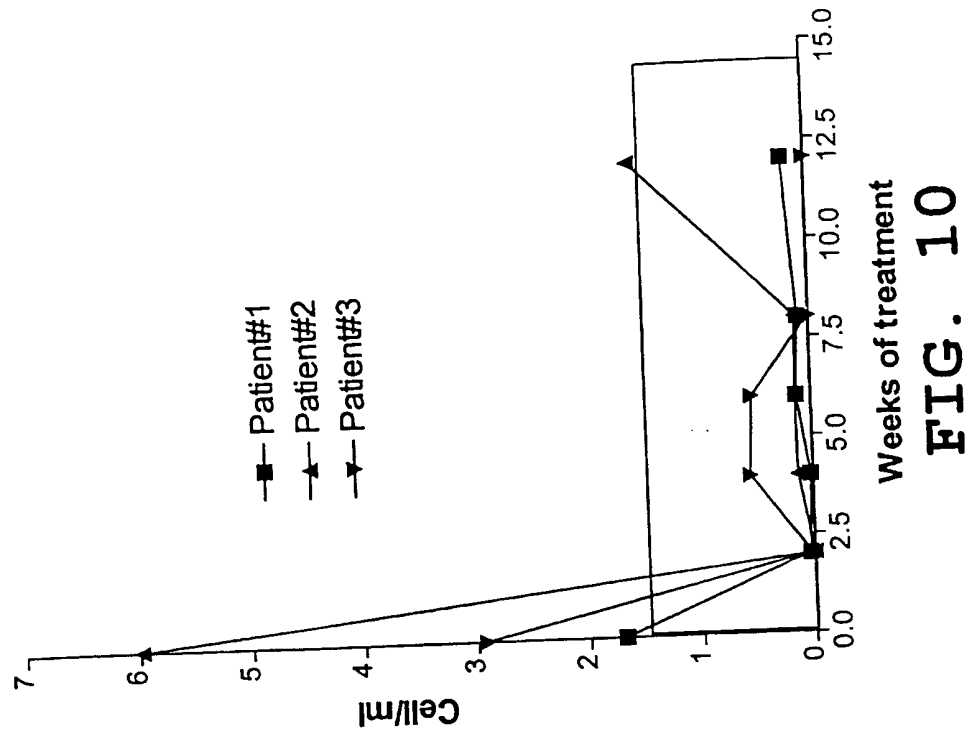
4/8



5/8



6/8



7/8

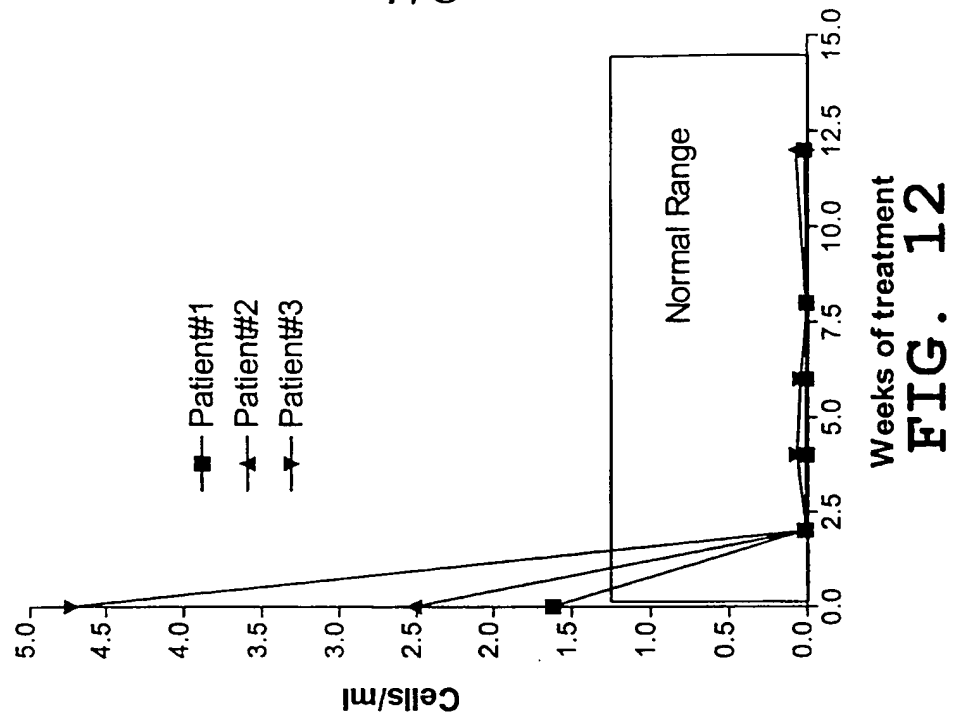


FIG. 12

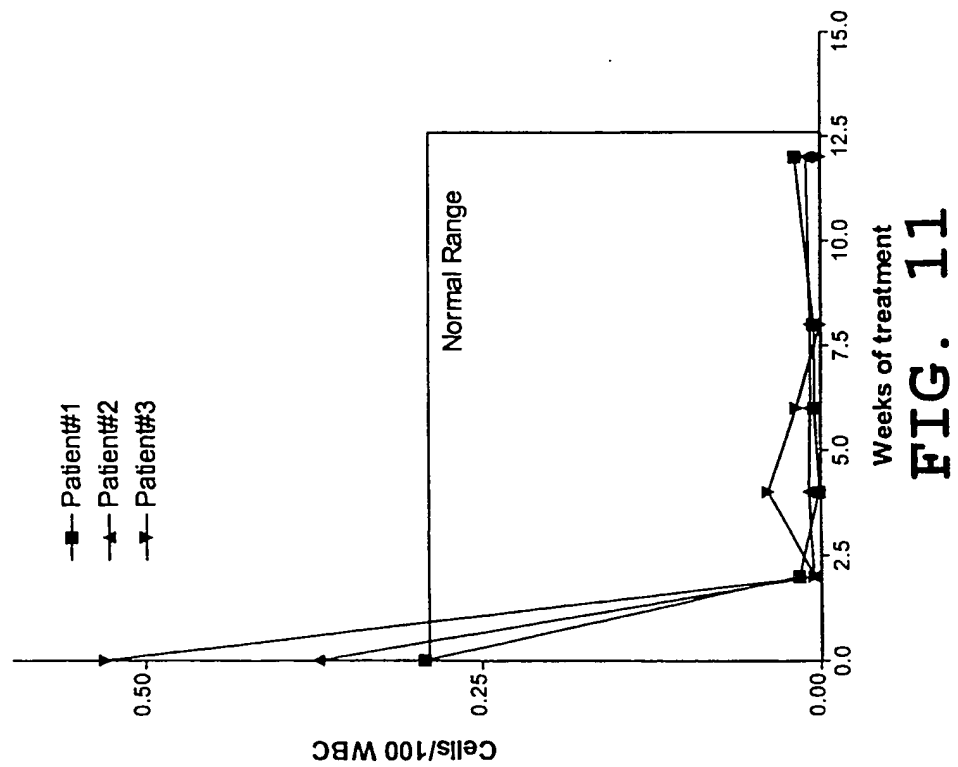


FIG. 11

8/8

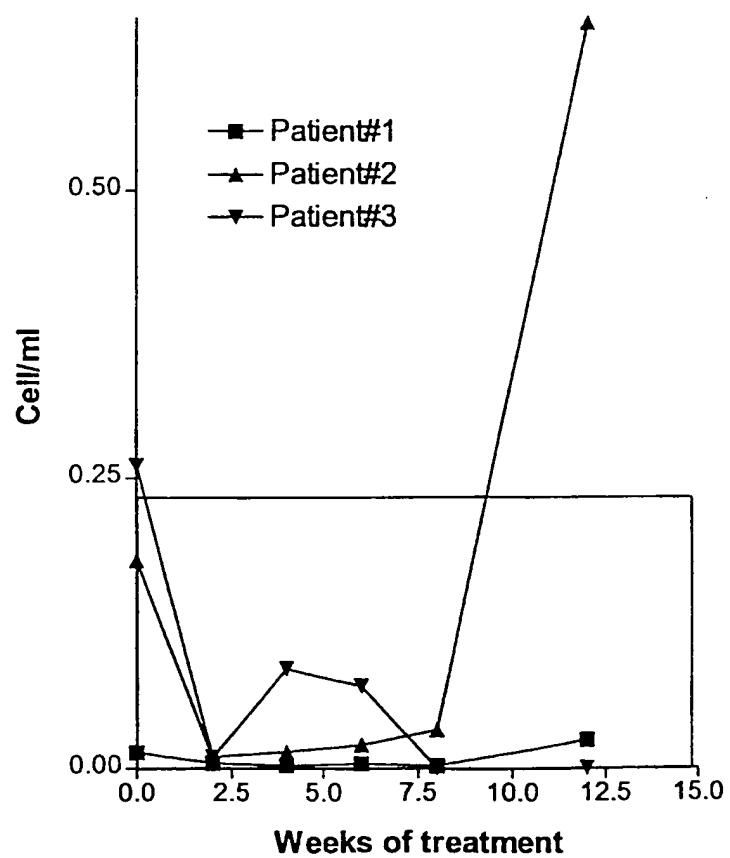


FIG. 13

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/23284

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : G01N 33/55  
US CL : 435/7.24, 975  
According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/7.24, 975

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

STN, DIALOG, EAST

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	GROSSER, N. et al. Tube Leukocyte (Monocyte) Adherence Inhibition Assay for the Detection of Anti-Tumour Immunity. III. "Blockade" of Monocyte Reactivity by Excess Free Antigen and Immune Complexes in Advanced Cancer Patients. International Journal of Cancer. 1976, Vol. 18, pages 58-66, especially page 58.	1-18
Y	RAN, M. et al. Immunoglobulin coating of human cancer cells: Correlation with clinical behaviour. Characterization of Human Tumours. 1974, Vol. 1, pages 244-249, especially page 244.	1-18
Y	BARBONI, F. et al. Impaired immune surveillance in different types of human neoplasm. Characterizations of Human Tumours. 1974, Vol. 1, Pages 253-256, entire document.	

☒ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*B* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search  
17 JANUARY 2000

Date of mailing of the international search report

03 FEB 2000

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231  
Facsimile No. (703) 305-3230

Authorized officer

JENNIFER E. NICHOLS, NEE HUNT

Telephone No. (703) 308-0196

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US99/23284

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	LOPEZ, D.M. Modifications of Antitumor Defenses by Tumor Derived Factors and by Superantigens. Medicina. 1992, Vol. 52, pages 539-548, especially page 539.	1-18
Y	MANSON, L.A. Does antibody-dependent epitope masking permit progressive tumor growth in the face of cell-mediated toxicity? Immunology Today. 1991, Vol. 12, No. 10, pages 353-355, especially page 352.	1-18
Y	BERCZI, I. et al. Efferent enhancement of methylcholanthrene-induced sarcoma transplantable in strain 13 guinea pigs. European Journal of Immunology. 1976, Vol. 6, pages 453-455, especially page 435.	1-18
Y	US 5,595,721 A (KAMINSKI et al) 21 January 1997, abstract.	1-18
Y	US 5,776,456 A (ANDERSON et al) 07 July 1998, abstract.	1-18